

# Nuristani Studies

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Tokyo 2018

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2018/03/06 18:08:20

Tôkyô Daigaku Gengogaku Ronshû (*TULiP*) Monograph Series 1 Department of Linguistics, Graduate School of Humanities and Sociology, the University of Tokyo

『東京大学言語学論集』別冊 1.

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Nuristani Studies

発行者:東京大学大学院人文社会系研究科言語学研究室

〒113-0033 東京都文京区本郷 7-3-1

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Published in 2018 by the Department of Linguistics, University of Tokyo, Tokyo, Japan

Printed in Japan at Bikôsha, Takamatsu

This book is published by the financial support of JSPS KAKENHI Grant, Number 26370475.

ISBN 978-4-9906322-6-7 ISSN 1345-8663

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### David N. Nelson<sup>1</sup>

### 1. Introduction

In this article I will present the essential role that the Nuristani society plays in our understanding of the remote Indo-Iranian past. The historical linguistic position of Nuristani forces us to rethink the socio-historical situation affecting the Indo-Iranians Because there is strong evidence that allows the conclusion that Nuristani constitutes a third branch of Indo-Iranian (hereafter IIr)<sup>2</sup>. If this is so, that Nuristani stems from the 'Indo-Iranian' period, and its documented pre-Islamic society and culture is remarkably unique with archaic features that strike one as outside of known Indo-Iranian reconstructions. Several scholars have begun to realize the importance of the Pre-Islamic Nuristani religion for enhancing our understanding of the Vedic and, more tangentially, the Avestan societies. Witzel has offered several contributions, with a longer

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<sup>&</sup>lt;sup>2</sup> This hypothesis was first proposed decades ago by Georg Morgenstierne and he continued to maintain the position, despite some vacillation over the years, see Morgenstierne 1945:234, 1974:9. The linguist Richard Strand also maintains that Nuristani constitutes a third branch of Indo-Iranian and his arguments can be found at his website

http://nuristan.info/Nuristani/nuristanis.html and also

http://nuristan.info/Nuristani/BasicEvolutionaryProcesses.html.

While there are still dissenting opinions, the extra-linguistic data further justifies considering Nuristani as a third branch of Indo-Iranian.

paper on the Kalasha religion<sup>3</sup> to which I will also draw attention in this paper because of it close association with Nuristani. Likewise, Parpola has also focused his attention on certain aspects of Nuristani society and beliefs as well.<sup>4</sup> However, as I proceed, it will be clear that there is far more here than has been realized by scholars, and I wish to address this shortcoming. It is quite surprising just how important the Pre-Islamic Nuristani society is for our understanding of the seminal beliefs and practices of the Indo-Iranians. I use the term Indo-Iranian here in an inclusive sense, covering developments in the second millennium BC for the speakers of the known Indo-Iranian languages.

I will begin with an overview of the Pre-Islamic Nuristani society, as well as that of the contemporary Nuristani and Kalasha society.

The Pre-Islamic Nuristani society can be characterized as a 'big man' status society. A big man society is one in which status or prestige (more on this later) is achieved and not ascribed or inherited. An individual earns his prestige through a very specific set of requirements. Women were also included in this system and could also earn prestige. The 'big man' society is a cultural form which is well attested in the anthropological literature, primarily that dealing with Polynesia. The big man system, and its related great man system, are often discussed with a

<sup>&</sup>lt;sup>3</sup> Witzel 2004.

<sup>&</sup>lt;sup>4</sup> Parpola 1999, 2002, 2015.

<sup>&</sup>lt;sup>5</sup> Chudek and Boyd 2015; Lindstrom 1981; Godelier and Strathern 1991; Sahlins 1963, etc. Despite the difficulty of the term among anthropologists, the important points are the role of prestige, a recognizable status/prestige system, and the role of leadership through prestige and influence and the prestige must be earned. Parkes has also endorsed this social model as operative for the Kalasha society (Parkes 1983:367).

third form, that of the chieftainship. Based on specific features, I will characterize the Pre-Islamic Nuristani society as a 'big man' society. This is partly due to the nomenclature itself that is found in Nuristani. Richard Strand, arguably the most knowledgable scholar on the Nuristani languages, has recorded an informant from the Prasun (Strand's term:  $V\bar{a}si\text{-}Vari$ ) who uses this particular term when describing those who achieve the prestige-feasting rank.

Strand asks, "Is there an elder (jeṣṭ) among them (i.e. the agnates, the totbřo[lit. father-brothers])." Zāman Xān replies, "Yes, there's an elder. There's a big man." (oal' mānša). In Prasun the term for 'big man' is milyār. In a rather remarkable way, Buddruss has recorded this term miler (Z) and milyer (D) which he glosses as 'a kind of demon'! The Prasun are now Muslims and, given that in the 'pagan' period, a 'big man' was considered an incarnation of a divine power, their demonization makes sense. Zāman Xān goes on to say, "That elder would be the man who makes a name for himself. A big name. If he wanted to make a big name, he would gather together all the Přâsü~ and give them a feast. The man would have a name. They would say that the man had made a big name for himself." Here we also see the term 'big name' (oal nom).

Within this system, there were two formal rank or status modalities in pre-Islamic times for achieving prestige. The 'first' rank was achieved through martial prowess; the second rank was achieved through 'prestige feasting'. The first rank also included feasting, but not at the level that was required to achieve the second rank. The two ranks are sequential

<sup>&</sup>lt;sup>6</sup> Buddruss 2017: s.v.

<sup>&</sup>lt;sup>7</sup> Strand http://nuristan.info/Nuristani/Vasi/VasiCulture/Zaman2.html. Accessed January 3, 2018.

in that the 'hero' rank must be achieved before the 'prestige feasting' rank. Within each rank, there is the ability to add titles to that rank. It is exclusively through these two rank modalities that one achieves prestige, and which also differentiates one person within an egalitarian kinship system and the larger society from others. It is the **only** means of achieving political power and influence.<sup>8</sup>

These two ranks were also based on distinct age groups: young men and 'elders' (the middle aged 'active' and seniors 'inactive'). The situation found among the Kalasha of Pakistan is essentially the same as for that of the Pre-Islamic period in Nuristan and helps us to further understand the Pre-Islamic Nuristani situation. The current situation among the Kalasha of Pakistan further explains the overall power structure within a clearly demarcated generational hierarchy. Parkes describes the three groups involved: Başara- 'old men' 50-80 years old, who are the peacemakers, advisers, mediators between active elders; Gadärak 'active elders' 30-50 years old, who are competitive leaders, feast-givers, mediators between youths; Juān 'youths' 15-30 years old, who are antagonists, combative supporters of their elders (active in elopement and adultery). While the heroic rank has, more or less, dropped out of the Kalasha system, its presence is seen in symbols and song. The old men (başara-) have already achieved the requisite ranks to become 'senior old men' with influence. It is also interesting to note the relationship between the 'old men' advising the 'active elders' and the active elders advising the youth, but the 'old men' do not advise the 'youth'. This tripartition described by Parkes was also a feature of the Pre-Islamic Nuristani system.

 $<sup>^{\</sup>rm 8}$  On the role of ranks and 'poltical power' see both Jones 1974 and Strand 1974b.

There is an intimate relationship between status/prestige and leadership. Most importantly, institutionalized leadership is held by a group of influential 'status/prestige holding elders' whose membership in the group varies from year to year, depending on individuals and circumstances. Formal political leadership was dependent on this status system, but political authority, that is, the right to make decisions affecting the society and certain penal decisions, was separate from the status acquisition system. Rank with its attendant prestige were required before one could have influence over others.<sup>9</sup>

Leadership was vested in those who were called *jeṣṭ* (Kati). Strand (1974b) makes the important, and critical, correction to Robertson's misunderstanding of the 'Jast' (=*jeṣṭ*). Robertson confused the *jeṣṭ* with the second-tier rank achieved through prestige feasting. Strand simply translates the word *jeṣṭ* with 'leader', but there is far more to be understood by the use of this word. The term is cognate with OIA *jyeṣṭha* 'eldest' 'senior'. However, its etymological roots connect the term with the verb *jyā*, whose earlier meaning is 'to deplete' and which subsequently comes to mean 'to deprive'. One of the foremost characteristics of the prestige feast giver, the holder of the second tier rank, is that he will expend virtually all his livestock and possessions at a prestige feast. The *jeṣṭ* must be one who has 'most depleted (his wealth)' in his pursuit of power.

The distribution of one's wealth without expecting anything other than prestige in return is still a driving force in the Kalasha society.

<sup>&</sup>lt;sup>9</sup> See Jones 1974 and Strand 1974b.

<sup>&</sup>lt;sup>10</sup> KEWA s.v. and EWA s.v.

Darling explains an interesting idiom involving the verbs 'to sit' and 'to stand' among the Kalasha of Pakistan.

"It is not enough to save and to have wealth: 'uncooked wheat has no value', and 'an animal with its skin still on, is of no use'. The Kalash use an enigmatic phrase 'us-teik \ze ni-seik' which literally means "to stand up and to sit down". It is an idiom about wealth that is used on several different levels. Not only does it refer to the stockpiles of wealth which rise and fall, as one works towards saving it and then giving it all away at feast, but it also refers to the constant round of guests coming day by day to one's house, and sitting down (upon a "han-yak" 'stool') to have a meal, and then standing up to leave again. The term means public and private generosity and hospitality."<sup>11</sup>

In his dissertation on the Waigali Nuristani, Katz also discusses the process of status or prestige acquisition. He notes that within the community, i.e. the *deš*, individuals sought formal status/prestige and recognition through these two explicit modalities of martial prowess and institutionalized generosity. <sup>12</sup> In both modalities, the standards and requirements for recognition were explicit, as were the evaluative criteria and procedures for judging whether the outcome matched the expectations set for those standards and requirements.<sup>13</sup> In both areas of activity, an individual's accomplishments brought benefit to his entire community. Success as a warrior by staging murderous raids on enemies maximized

<sup>&</sup>lt;sup>11</sup> Darling 1979:146

<sup>&</sup>lt;sup>12</sup> Katz 1983: 114.

<sup>&</sup>lt;sup>13</sup> See also Jones 1974.

terror and helped to destabilize a large enemy territory. This forced their enemies into a preoccupation with their own security, which reduced their ability to stage successful attacks on Nuristani communities.

Social recognition for institutionalized generosity, which consisted in the lavish distribution of meat, grain, and cheeses, as well as live animals, at private and public feasts and community celebrations ensured that the available resources were shared as much as possible with the entire community. The entire community benefitted because those with productive capabilities beyond the requirements for their own subsistence needs and for maximum productivity distributed their surplus to the *deš*. <sup>14</sup> This is also a characteristic of the prestige feasting practices among the Kalasha described in detail by Peter Parkes<sup>15</sup> and Elizabeth Darling. <sup>16</sup>

I hold that the two "explicit modalities: martial prowess and institutionalized generosity" <sup>17</sup> are exceedingly archaic and can be reconstructed as having been a feature of what I will call the Early Indo-Iranian society. These two modalities did not develop solely within the Nuristani community; rather, they are a continuation of cultural practices and beliefs from the Indo-Iranian (and subsequent) period itself. We also find the practice of prestige feasting in the Kalasha community. The Kalasha were most likely heavily influenced by the Nuristanis, but I think that, in regard to this practice, the Kalasha system does indeed represent a pre-Vedic system. However, although the Iranian and Vedic sides

<sup>&</sup>lt;sup>14</sup> Katz 1983:113.

<sup>&</sup>lt;sup>15</sup> Parkes, 1983, 1992.

<sup>&</sup>lt;sup>16</sup> Darling 1979.

<sup>&</sup>lt;sup>17</sup> Katz 1983:114.

progressively lost these distinct features in practice, we can find traces of them in verse and lore. These two status-achieving modalities and their accompanying institutionalized governance structure provide a powerful explanatory model for a number of longstanding problems in Vedic interpretation.

### 2. The Status/Prestige Modalities

In its treatment of 'rank and status', the literature on Nuristan can be somewhat confusing. There are clearly two rank statuses: the 'heroic' rank, *šura or lei moč*, and the prestige-feasting rank (in Kati *mi*, *mü*, arā/aro). Within each rank, there are a number of titles that one can achieve to further enhance one's status and to enhance one's future in the afterlife. The literature tends to confuse the issue by referring to these titles related to status enhancement within these principle rank as "ranks" as well. However, it is essential to make this distinction between the rank itself and one's ability to acquire additional titles within it once one achieves that particular rank. One might ask whether this situation is analogous to the modern military assignment of status where we find the title officer [the broad status]: then, lieutenant, captain, major, general, etc.? Such an analogy breaks down within the area of responsibility in the Nuristani situation, since one does not gain new responsibilities as one adds titles to one's rank, but continues to increase one's 'prestige'. The important point here is that there are only these two modes of status acquisition; within these two modes, one can add to one's status/prestige within the rank that one has already achieved. Referring to some 15-20 titles as 'ranks' only obfuscates what is simply a two tiered system.

Among the Kom<sup>18</sup> the first status modality is that of the *lei moč*<sup>19</sup> 'warrior'.<sup>20</sup> At their feasts, a *lei moč* was given an extra portion (*sor* or *baḍə*') of food. Within this status modality there were specific additional rank titles which could then be achieved. For example, the following two Kom terms will then refer to titles within the *lei moč* position: *puṇri moc* 'man that had killed 7 men (pre-Islamic)'; *urāviç* "top notch" man (after the pre-Islamic warrior who had the most notches in his *d'ol pole*).<sup>21</sup> Or, among the Kalaṣūm (Waigali speakers) we have the following heroic titles: *mač-māl-oda* N. Man who has killed up to six persons; *ḍanaköl-oda* N. Man who has killed up to 7 to 8 persons; *bâtūr* N. Man that has killed 12 persons; *ḍal-oda* N. Man who has killed 18 persons.<sup>22</sup> Morgenstierne,<sup>23</sup> Palwal, <sup>24</sup> Katz, <sup>25</sup> Fussman, <sup>26</sup> Schmidt, <sup>27</sup> and Klimburg <sup>28</sup> further enumerate other rank titles within the explicit status modality of either the heroic status or the prestige feast giver status.

<sup>&</sup>lt;sup>18</sup> The Kom Kati. This the Nuristani 'tribe' with whom Robertson lived. Richard Strand has also lived and has extensively covered their language and other aspects of Kom society. See especially his website.

<sup>&</sup>lt;sup>19</sup> Strand. http://nuristan.info/lngFrameL.html (accesed January 12, 2018). S.v. Kom vocabulary: Society: Community Defense.

<sup>&</sup>lt;sup>20</sup> Strand 1974b.

<sup>&</sup>lt;sup>21</sup> Strand. http://nuristan.info/lngFrameL.html (accessed January 12, 2018).

<sup>&</sup>lt;sup>22</sup> Strand. http://nuristan.info/lngFrameL.html (accessed January 12, 2018). Waigali (=Nišei-alā): Society: Rank.

<sup>&</sup>lt;sup>23</sup> Morgenstierne 1973.

<sup>&</sup>lt;sup>24</sup> Palwal 1973, Palwal 1977.

<sup>&</sup>lt;sup>25</sup> Katz 1983.

<sup>&</sup>lt;sup>26</sup> Fussman 1983.

<sup>&</sup>lt;sup>27</sup> Abdullah Khan et al 2006.

<sup>&</sup>lt;sup>28</sup> Klimburg 1993.

### 3. Prestige Feasting Status

The second status modality is that of the Prestige-Feast giver. Among the Kom, it is called a *mü moc*, which Strand defines simply as the "man that held the *mü* rank for feast-giving (pre-Islamic)."<sup>29</sup> Strand refers to the term *mü* as being "a rank earned by giving a series of feasts to one's community, the symbol of which was the crest feather of the male monal pheasant (pre-Islamic)."<sup>30</sup> In his earlier paper from the Hindu Kush conference, Strand elaborates on the *mü* rank.<sup>31</sup>

The acquisition of the rank of *mü* (*mi* in Kati) has also been described by Azar (=Abdullah Khan), a Pre-Islamic Nuristani whose manuscript Morgenstierne first partially translated from the Hindustani manuscript, and which has been subsequently completed by Schmidt and Cacopardo. Azar's account is of tremendous interest because it is a firsthand account of the status system by a Nuristani in direct contact with the pre-Islamic customs, and, as such, it allows us to verify what we have learned after the conversion of Kafiristan. It also helps to verify Robertson's account; indeed, it actually makes Robertson's account all the more remarkable.

### Azar writes:

If anybody wants to become a headman [that is. a ranked prestige feast-giver DN], the method for doing it is that the person first of all sacrifices sixty-four goats in the name of the deity called Gīš at the end of September. While the goats are being slaughtered the

<sup>&</sup>lt;sup>29</sup> Strand. http://nuristan.info/lngFrameL.html.

<sup>&</sup>lt;sup>30</sup> Strand. http://nuristan.info/lngFrameL.html.

<sup>&</sup>lt;sup>31</sup> Strand 1974b.

people keep worshipping. When they finish the slaughter of the goats the worship of the deity is also concluded. Then the meat is distributed among all the men in the village. The method of doing so is this, that all the goat meat is first divided into fourteen equal parts and distributed among the fourteen *urus*. Each of these *urus* has his separate party. Every *uru* distributes the above mentioned meat among his own party.<sup>32</sup> S-C 45-46

Interestingly, and I think significantly, the term among the Kom for these groups ('separate party') is  $g\tilde{u}\check{r}$ , which is cognate to OIA *gaṇa*.

Forty days after distributing this meat, he feasts the villagers. The method for doing it is as follows: a man is sent throughout the village to stop the men and women from cooking their own meals, and for four days, morning and evening, ie. Eight times, he serves the very finest food.

Forty days after this feast has passed, in mid-winter, he again feasts the whole village for four days in the above-mentioned way. A dance is held each day after the feast. In this dance, the community and this headman also dance. After the dance, at around two or three o'clock in the night, a feast is served to the dancers which is called supper in English. Four days later the man's ears are pierced and golden earrings are put into them. (The ears are pierced in three places, two above and one on the earlobe.) They tie a turban round his head. They let these earrings remain in his ears after his death and he is buried with them.

<sup>&</sup>lt;sup>32</sup> Abdullah Khan et al 2006:45-46

He is taken outside the village to an appointed place on the first day of the  $N\bar{\imath}l\tilde{o}$  festival.<sup>33</sup> It is strictly forbidden for women to visit him there, nor is he allowed to enter the village. (emphasis mine) But the men can visit him. He wears fine clothes and a round turban. ... After concluding these three dances he drives the lance or spear into the middle of the dancing platform and, holding the battle-axe in his hand, dances magnificently. On conclusion of all three dances and the festival he returns to his house. On reaching the village he invites the people of his lineage and the community leaders to a feast. On this occasion the title of  $m\bar{\imath}$ -moc is given to this headman. At the end of one year he feasts the whole village. At the beginning of the second year the title of sunvāe aro is conferred on him in perpetuity... But each time he gives a feast a  $m\bar{\imath}$ -moc, that is to say  $m\bar{\imath}$ , is added to his title.<sup>34</sup>

Morgenstierne also recorded this feature<sup>35</sup> diz mi ro 'twelve 'mi' chief'; here the word ro is from aro. Strand records a genealogical name Pujmü Cãrmor 'Five mü Cãrmor.' I would draw attention here to the Vedic personages called Navagva and Daśagva, and probably atithigva<sup>36</sup> as well, which I suggest are actually fossilized title terms attached to the prestige feasting rank. The terms will refer to 'the one who has offered 9 cows' and 'the one who has offered 10 cows' and 'one who offers a cow to a guest'.

<sup>&</sup>lt;sup>33</sup> For this festival, see Robertson 1896:472, 595. For the month name, see Lentz1978:83.

<sup>&</sup>lt;sup>34</sup> Abdullah Khan et al 2006:47-49

<sup>&</sup>lt;sup>35</sup> Morgenstierne 1967:1388.

<sup>&</sup>lt;sup>36</sup> See Madonell-Keith 1995: s.v.

Palwal also describes the requirements for the prestige feasting rank:

As a first step, he killed 30 goats and distributed the meat uncooked. This meat was given to every male or everyone above 12 years old. Then, in the winter, he gave food to all the village for seven days. He fed the people of his village for five days in the month of  $Gi\check{c}e.$ 

For those here who have read the descriptions of the  $d\bar{\imath}k\bar{\imath}ita$  and the  $d\bar{\imath}k\bar{\imath}a$  in the  $samhit\bar{a}s$  and  $br\bar{a}hmanas$ , the time periods and activities described above will seem at least vaguely familiar. The term  $d\bar{\imath}k\bar{\imath}ita$  should be translated as 'ritually pure man' or 'one that has become/been made ritually pure', rather than 'consecrated', as a more accurate rendering of the term. The entire matter of purity and pollution which is at the heart of the Pre-Islamic Nuristani and Kalasha belief system, affects virtually everything individuals do and believe, and it is central to their feasting activities.<sup>38</sup> Its fundamental presence in the Pre-Islamic Nuristani society again shows that this is an archaic belief in the Indo-Iranian sphere.

The question now is whether this feature of prestige feasting and an attendant ranking system involving the two modalities of 'hero' and the 'prestige feast giver' as found among the Nuristani and the Kalasha, represent an extremely archaic institution, that is, one which was a found in the earliest stages of the Indo-Iranian community of the Trans-Urals and

<sup>&</sup>lt;sup>37</sup> This is the month in which the Winter Solstice Feast takes place, the central feast of the year. For its description see Robertson 1896:580-583 and Abdullah Khan et al 2006. For its place in the Nuristani calendar see Leitner 1978:87ff.

<sup>&</sup>lt;sup>38</sup> See Parkes 1983, Klimburg 1999.

Central Asia<sup>39</sup>, or whether it represents a more recent innovation localized to the Nuristanis and then probably borrowed by the Kalasha when they were in close proximity with the Nuristanis. <sup>40</sup> The existing textual evidence provided by the Vedic (and some post-Vedic literature, notably the *Mahābhārata*) and Avestan literature point to the conclusion that prestige feasting is an extremely archaic institution that can be traced back to the earliest Indo-Iranian society. It is the Nuristani data that makes possible an understanding of not only the prehistory of the Vedic and Avestan societies, but also of the critical internal developments within their traditions.

<sup>&</sup>lt;sup>39</sup> For this position, that the Early Indo-Iranians can be associated with the Sintashta-Petrovka culture, see especially Kuz'mina 2007. For a non-Russian archaeologist assenting to this assertion, see especially Anthony 2007. Most European (non-Russian) and American archaeologists tend to loathe to assign a linguistic or 'ethnic' association with archaeological sites. Kohl writes how "western readers may be struck by occasional ethnic, linguistic, and even racial attributions of specific archaeological cultures" (Kohl 2007a:XVI). However, the association of cutural features found in the Sintashta cultural complex and their likely correlaries described in early Vedic literature, plus the simple fact that the Indo-Iranian languages, well established in the 1st millenium BC simply had to come through Central Asia. There do not appear to be any other possibilities, unless one wants to argue for the Out of India theory, which is simply bizarre. In a subsequent article, I treat this connection in greater detail.

<sup>&</sup>lt;sup>40</sup> Parkes 1983 records the extensive relationship between the Pakistani Kalasha and the Nuristanis, especially those in the Bashgal Valley. The relationship is rather one-sided with the Kalasha being the receivers over the Nuristanis are the 'givers'.

### 4. The arāmuc- 'prestige feast-sponsor'

To return to the prestige feast giver or sponsor as found among other Pre-Islamic Nuristani groups. I hesitate to give an etymology to the Kom word  $m\bar{\iota}$  or  $m\ddot{\iota}$ . Also, there is some variation in the practices, time frame, material quantities, etc., between the various Nuristani groups with regard to the acquisition of this rank. However, the essential features of the lavish distribution of meat, grains, cheese and dairy products according to a set of standards for which one receives the rank is clear.

Among the Kati of Bashgal the holder of the prestige feasting rank is referred to as an  $ar\bar{a}$ , which Palwal renders as 'rich master'. The term mi refers to the rank itself, the term  $ar\bar{a}/aro$  refers to the individual holding the mi rank. This is indicated by the fact that the honorary privileges of this rank were also extended to the offspring of the  $ar\bar{a}much$ . Notably, his son was arakur 'son of an  $ar\bar{a}$ '. Palwal observes that an individual who seeks a particular rank would first announce his intention to seek that rank. Then, after performing the following actions, he would be declared an  $ar\bar{a}mac$ .

<sup>&</sup>lt;sup>41</sup> It is possible to derive *mi* and its cognate *mü* from \**mitra* with the loss of the final vowel, subsequent lost of \*r as Morgenstierne has noted, loss of the final consonant \**t* resulting in *mi*. Morgenstierne has recorded the word *amitr* in Kati meaning enemy, most likely from the meaning 'one with whom there is not a sworn oath relationship', but the negative prefix perhaps halted the similar development that we have with *mi*. If this is the case for *mi*, then we see a meaing in \**mitra* as not only in the sphere of alliances and oaths, but also within the prestige feasting sphere.

### 5. OIA ari 'prestige feast giver/sponsor'

I had been hesitant in making the connection between the Nuristani word *arā* with OIA *ari* and its related *arya* for a number of years, but I could not shake the conviction that in the Rgvedic passages dealing with the ari, we were dealing with an individual who would seem to be analogous to the Pre-Islamic Nuristani prestige feast giver or sponsor. However, I think the connection is solid, and that it requires us to reexamine the well-known argument of Thieme that IA ari- meant 'stranger', an interpretation that has gained the favor of most Indologists. Jamison and Brereton follow Thieme in their translation of the RV translate ari as 'stranger'. But, it is in reconstructing a feast giving society similar to that found among the Pre-Islamic Nuristanis that we can reanalyze the seminal terms of the RV: ari and aryá-. The link between the Nuristani and the Rgvedic terms in terms of functions and linguistic form seems too great to be coincidental, thus allowing for a reconstruction of the term \*ari- in Early IIr meaning 'prestige feast-giver', with this meaning continuing into the period of the RgVeda (1300-1000 BC) itself.

The question is, what is the Nuristani word related to: \*ari or \*aryá-? The Nuristani form seems to be  $ar + \text{suffix } \bar{a}$ ; this is reflected in Kom Kati which has the form aro- in which we see the usual  $\bar{a} > o$ . In terms of etymology, I think we are looking at the word \*ari in PN.

The term *ari*- has been the subject of intense scholarly debate for nearly 150 years; Bloomfield calls it the 'l'enfant terrible' of Vedic studies. This term also led to the longstanding debate between Thieme and Dumezil over its exact meaning. Indologists have tended to follow

Thieme's suggestion that the term means 'stranger', <sup>42</sup> a person who could be either a friend or foe, thus accounting for the difficulties in its apparent contradictory meaning in various passages in the RV. In some passages, there is a positive connotation to the word, while in other passages, it has a negative connotation, leaving translators such as Geldner to render the term with 'rival' 'chief' 'miser' etc.

Thieme objects to these differing translations for this one term, stating: "It is my very point that a word cannot mean 'rival' and 'chief' (and besides 'miser' [Geldner]) and 'stranger' at the same time: these words represent non-interchangeable concepts that cannot be put over the same denominator." <sup>43</sup> Brereton, in summarizing Thieme's thesis also makes the same point: "In fact, he [sc. Thieme] argued it is only this translation (i.e. 'stranger' DN) which can account for the variety of contexts in which the word appears. Sometimes it designates a friend, other times, an enemy; sometimes a host, other times, a guest. Only an underlying concept "stranger" is applicable in all these contexts." <sup>44</sup>

But this is simply not true. Within the context of a prestige feasting society such as found among the Pre-Islamic Nuristanis and the Kalasha, it is indeed the exact situation wherein a prestigious feast-giver is both 'friend' and 'foe', 'wealthy patron' and 'rival', a 'host', and a 'guest' and even a "miser" or 'stingy' to those excluded from his feast. The semantic problem is one of our own making, residing in the fact that in our attempt to translate these terms into our modern languages, we inadvertantly end up with quite different concepts, thus arriving at the

<sup>&</sup>lt;sup>42</sup> Thieme 1938.

<sup>&</sup>lt;sup>43</sup> Thieme 1957:75.

<sup>&</sup>lt;sup>44</sup> Brereton 1981:151.

wrong conclusion that these are contradictory states, or "non-interchangeable concepts", as Thieme claims. Yet, all of these conditions or states can indeed be subsumed by the single tern \*ari or 'prestige feast giver'. To use Thieme's metaphor, we can put all these concepts in the numerator over the denominator ari. It is our need to translate with different terms the nuances of the single term, but here we are not dealing with mutually contradictory terms; rather, our translations do not properly recognize the nature of the 'prestige feast giver' within the context of his unique social institution, that of the prestige feast in its various forms.

In the following description from Palwal, the complexity of the prestige feast giver is made clear:

A prerequisite to becoming a ranked man [2<sup>nd</sup> tier rank DN] among the Kafirs is the holding of property. Wealth is judged in terms of how many cattle and goats a man owns, how much land he controls and the extent of his annual income from these holdings. The Kafirs are **highly competitive** and, in addition to claims of homicides committed, they demonstrate their **intratribal competition through comparison of individual property** ...Thus there are **aggressive overtones** to the distribution and ownership of property.<sup>45</sup>

In this statement, we see wealth, rivalry, aggression, feasting, gifts, etc. as the critical and essential components of the prestige feast giver, all of which are subsumed in the character of the prestige feast-giver. The ethnological evidence provided by the Nuristani and Kalasha

<sup>45</sup> Palwal 1977:220.

data for the prestige feasting society clearly show that we could use all the various terms: 'friend', 'leader', 'rival', and 'patron', 'guest' and 'host' when dealing with the prestigious feast givers. Attempts to translate this word into modern languages present the problem as a straw man; how can this word mean these very different and contradictory concepts? If, however, the term refers to the prestigious feast giver as we encounter him within the context of the Nuristani and Kalasha feasting complex that is similar to that found in the Pre-Islamic Nuristani society, then these various 'translations' which Thieme claimed to be contradictory can be seen to be simply expressions or facets of his 'office' and of his relationships to others in his society--those in his family, in his lineage (jana-), in his community (viś-), in his territory (janma-). 46 Thus the term arí is neither an ethnic designation nor a kinship term; it is a designation of a particular important social function or category within the society, a function held by the overproducing feasting individual who has the capacity to distribute essential goods within a prescribed system—the sacrificial ritual. And because of his prestige feasting activities, he is accorded status, he has political power and influence, he is a leader, and he is in competition with others also competing for prestige. Thus, all the characteristics which we find listed for the ari- in the RV and which are seen to be somehow contradictory in our contemporary understanding, and thus only resolved by positing, oddly, the term 'stranger', 47 are simply descriptions of the various facets of a prestige feast giver. On an interesting side note, little has been written on the role of the bhrātrvya

<sup>&</sup>lt;sup>46</sup> I take the meaning of the terms *jana-viś-janma* within a hierarchical context.

<sup>&</sup>lt;sup>47</sup> Benveniste noted long ago that it is very strange for an ethnonym, i.e. *arya*, derived from *ari* to be derived from a term meaning 'stranger'.

'rival', despite its clear importance in the texts as reflected in the large number of its occurrences. There is hardly a page in the Middle Vedic literature where the *bhrātṛvya* is not encountered. I suggest that *bhrātṛvya* is a replacement for the term *ari*, once the prestige feasting system had completely collapsed by the end of the period of actual Rgvedic hymn composition.

### 6. Competition and divine sanctioning

There is a distinct religious aspect to the activities and accomplishments of the prestige feast-giver. Palwal writes:

The ritual feasts of an individual should not be viewed out of their context. As noted earlier, the holder of a public office is in competition with rival members of his village, lineage, and lineage segments. The competitive nature of Kafir rivalry is such that once a man allows a competitor to surpass his **generosity or heroism**; the community will support and acknowledge his rival as the leading **consecrated** popular headman.<sup>48</sup> Palwal 1977:101-102 (boldface mine)

Competition was at the heart of the Pre-Islamic Nuristani society; the competition for status as expressed through rank motivates free-born men to perform their valorous deeds, give feasts and produce surplus products for dispensation to their people. But, there is also an important eschatological dimension to feasting, and this religious dimension is

<sup>&</sup>lt;sup>48</sup> Palwal 1977:101-102.

always present in the feasting cycle. That is, feasting is not simply a 'secular' activity for worldly status and rank; intrinsic to the feasting complex is the religious dimension that confers and confirms the rank and status of an individual. This is very clearly seen in the erection of the funeral effigies for the men and women of rank as an assurance of their 'immortality'. In this regard, Palwal writes:

The ritual feasts of an individual should not be viewed out of their context. As noted earlier, the holder of a public office is in competition with rival members of his village, lineage, and lineage segments. The competitive nature of Kafir rivalry is such that once a man allows a competitor to surpass his generosity or heroism, the community will support and acknowledge his rival as the leading consecrated popular headman. This change of power is possible because the feasting and heroism are religious endeavors that bestow supernatural blessing and sanctification on the individual and therefore endow him with higher status. The rival may thus become the headman of his lineage segment, lineage, or even the village, depending on the level and importance of the feasting and ranking. As a rule, the man with the highest private rank will have given the most elaborate feasts and will occupy the most important and prestigious public office. In order to keep holding this office or advance to a still higher one, he must maintain a popularity distance between himself and his rivals by acquiring further higher ranks through successive feast-giving.<sup>49</sup> (emphasis mine)

<sup>&</sup>lt;sup>49</sup> Palwal 1977:102

Strand also arrived at the conclusion that there is a 'sacred' dimension involved in the feasting activities, going on to make an extremely important observation regarding the role that purity has with that of the ranking system.

In the pre-Islamic order, animal husbandry carried sacred and symbolic functions that it lacks today. Numerous sacrifices of livestock were required to induce the state of purity necessary for communication with the gods, and huge quantities of meat and dairy products were distributed in tribal feasts by aspirants to formal rank, who were required to have a minimum of 400 goats and 60 cows.<sup>50</sup> (emphasis mine)

The RV speaks of the *manyu* 'wrath' of the *ari*.<sup>51</sup> I suggest that that this term is not to be seen as related to wrath, especially since the question that never seems to be asked (or answered) is: wrath over what? It refers rather to a sense of 'obsession', with the mind fixed on something. In this regard, Darling comments on the state of mind of the prestige feast giver among the Kalash, and this preoccupation is also mirrored in the Pre-Islamic Nuristani system. I quote in full Darling's discussion of the Kalash "Duty of Feast-Giving".

If a man begins to save his wealth for a feast, the Kalash say he is no longer able to 'eat his wealth quietly'. Such a man becomes shiftless and restless, a worm is wriggling inside him,

<sup>&</sup>lt;sup>50</sup> Strand 1974:123

<sup>&</sup>lt;sup>51</sup> RV 7.60.11; 8.48.8

compelling him to make a 'na-moo-naa kromb', a 'strange, (deranged) work.'52

During the feast, **his behavior is like a man possessed**, as he '**recklessly**' dispenses with his and all his clan's wealth. The latter observe him with some trepidation, however he is beyond their control because, to curb his actions at that point, would be to destroy their reputation and honor. The eulogies about the feast-giver go on to say that this is not '**madness**' however, but the fulfillment of the man's "*armaan*", his 'sorrow' or 'longing' to comply with the wishes of god and his fundamental spirit of generosity. (emphasis mine)<sup>53</sup>

This same behavior can be seen among the Pre-Islamic Nuristanis. Robertson writes:

A miserly Kafir ... will do his utmost, will try every shift and expedient, to render his feast a success. **He thinks nothing of ruining himself completely to become a Jast,** and ever afterwards refers to his impovershed condition with a proud humility, and generaly getting, the sympathy and admiration of his audience at every such allusion.<sup>54</sup> (Emphasis mine)

This is this situation that lies behind the actions of both Yudhishtira and Nala in the *Mahābhārata* as they proceed to 'obsessively'

<sup>52</sup> Trail-Cooper 1999 s.v.: namuna 'strange, novel', krom 'work'

<sup>&</sup>lt;sup>53</sup> Darling 1979:146-147. Cf. Yudhisthira's behaviour and Nala's behavior. I have more to say on the implications of these societies on our understanding of the antecedents of the Mahabharata in a subsequent article.

<sup>&</sup>lt;sup>54</sup> Robertson 1896:458-459.

give away their entire store of wealth. We are dealing not with a gambling situation, but at its original source, a prestige feast involving the total disbursement of not only one's own goods, but even those of one's lineage, as noted by Darling.

Let us return to the problem of the ari. Brereton states: "This important point, that the *arí* is always an outsider, should be obvious from Thieme's exposition..."55 But this is also simply not true. In the list below, which summarizes the occurrences of the term, we do not find this sense of *ari*- as an 'outsider'. This is a conclusion that Thieme and other modern commentators read into the verses with the term ari. Thieme's theory that the term ari- means 'stranger', which he based on his objection to the assumed contradictory notions that seemed to surround it, led him to the unwarranted conclusion that, in the occurrences of the term ari-, he is an 'outsider', a 'stranger'. Rather, we must side with Palihawadana's conclusion that "the one thing that is quite certain about the word ari in the RV is that it consistently refers to a rich and powerful person."56 Pahilawadana justifies this conclusion with numerous examples. We can easily translate the term arí with 'prestige feast giver' to see that his role is not that of an 'outsider', but that of a member of the overall feasting complex which the poet is extolling and in which he wishes to participate, or as a member of an adverserial cultic group which the poets are condemning. It seems singularly unlikely that the hymn composers would be so familiar with the wealth and possessions of the 'stranger'. How could this be? And why would a 'stranger' be present at these, by their

<sup>&</sup>lt;sup>55</sup> Brereton 1981:154.

<sup>&</sup>lt;sup>56</sup> Pahilawadana 1970:88.

very nature, exclusionary ritual feasts? Often the poet implores Indra to pass by an adverserial soma feast and to come to the one the poet endorses.

Let us briefly review some of these characteristics of the *ari*. When we substitute the word 'stranger', it is, as Benveniste commented decades ago, extremely odd for such a critical term (including also *arya*) to be associated with a meaning 'stranger'. Palihawadana organizes the following categories and individuals that involve the person of the *ari* and his associations, along with his citations.<sup>57</sup>

- 1. cattle 1.33.3; 1.121.15; 1.126.5; 10.27.8
- 2. wealth 1.81.6, 9; 5.2.12. Cf. also 8.24.22; 9.23.3; 2.12.4, 5; 10.86.1, 3
- 3. riches 4.48.1; 6.14.3; 6.20.1; 6.36.5; 6.47.9; 7.34.18
- 4. "nourishing possessions" 2.12.4 and 5; 2.23.15; 10.86.1/3
- 5. "firmly-held treasures" 8.21.16.
- 6. "man-sustaining food" 1.81.6
- 7. corn 10.27.8 (Perhaps also 10.42.7)
- 8. "fattening vivifying-treasures" 8.72.16
- 9. "vigour-giving booty" (to be won from the ari) 1.73.5

Of a somewhat different order are the following — which reflects the power or characteristics the *ari* had at his command:

- 10. splendour 4.4.6,9.61.11. Cf. also 2.23.15; 4.16.19
- 11. fame 10.116.6
- 12. priests 8.65.9
- 13. insults/malicious speech 7.31.5
- 14. 'wrath' [= obsession ?] 7.60.11;8.48.8
- 15. commands 8.60.12

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<sup>&</sup>lt;sup>57</sup> Pahilawadana 1996:38.

16. men/people 5.33.2; 8.1.4; 10.27.19c. Cf. also 7.21.5 and 10.89.3

17. "overpowering manliness" 10.76.2 1

18. "manly forces" 1.169.6; 10.59.3

It strains credulity that, in these verses dealing clearly with interactions that characterize relationships between individuals and groups at the various feasts  $(yaj\tilde{n}as)^{58}$ , the person of the 'stranger' or the 'other' could function in this manner. It is particularly unlikely that the hymncomposer would have had this detailed knowledge of a stranger's possessions, his wealth, his state of mind—anger, envy, etc. It furthermore seems extremely unlikely that the 'stranger' would be a benefactor within within the socio-ritual context that is operative in these hymns. Finally, Beneviste's observes that it is extremely odd that one would go from a term for 'stranger' or 'other' to the creation of an ethnonym, arya, from this term.<sup>59</sup> The problem stems from the failure to understand that actual underlying social structure found in a prestige feasting society, which then eliminates the supposed contradictions which can only be resolved in the manner that Thieme proposes. A far simpler explanation is the one proposed: a prestige feasting system in which we find all these multiple characteristics and facets in a single person operating within a particular social structure. This is not a criticism of Thieme—he was simply completely unaware of such a system; instead he derived his conclusion

<sup>&</sup>lt;sup>58</sup> Note Thieme's conclusion decades ago that the  $yaj\tilde{n}a$  is a 'stilisiertes Gastmahl'. P. *Thieme*, (1957a): 90. I think he would have found the feasting system of the Pre-Islamic Nuristanis and that of the Kalash very interesting.

<sup>&</sup>lt;sup>59</sup> Aguilar i Matas 1991:22 ff.

from the limited evidence and current understanding available to him at the time.

Even the term 'miser' makes sense if the prestige feast giver does not allow a particular person participate in the feast. In this context, we can bring in the term yātudhana or just yātu which is usually translated as 'demon'. We can now argue that this term refers to an individual who seats the guests at the various prestige feasts with a meaning basically that of 'seat arranger'. The verb yat has a meaning 'to arrange in order'. Again, we need but look at the various feasts which were offered in the **Pre-Islamic Nuristani** times to see that not only are various groups invited, various groups and individuals are often **NOT** invited. In what appears to be a cultic struggle between the Indra/Soma group and the Non-Indra/Surā group, those who seat the guests become objects of derision and hostility because of their function within the sacrificial feasting structure.

### 7. Early IIr \*ari- 'freeborn prestige feast giver'

For the various occurrences of the term *ari* in the RV, we can consider the term to mean 'prestige feast giver' and understand in this term all the nuances listed above, similar to those found in the Pre-Islamic Nuristani society and the Kalasha society. I suggest that during the **Early IIr** period (2100-1700), the word \**ari* also meant 'prestige feast giver'. The word \**ari* thus referred to a person who held a ranked position that was available to the class of highly productive free-born men in the **Early IIr** society. This term designated the individual holding the prestige feast rank that constituted the second tier in the rank acquisition system, the first tier rank being that of the heroic rank (\**śura-*). The \**ari* rank was achieved

**only** after first achieving the heroic rank and thus we see an important and critical generational feature here: the heroic rank was achieved by the youth and the prestige feast rank achieved by the 'elders'. By understanding this seminal term to mean 'freeborn prestige feast-giver', we can solve a number of problems associated with this word and those related to it.

### Excursus on RV arí-

Thieme's suggestion that the term *ari* meant 'stranger', despite a certain amount of reservation expressed in the scholarly literature, has received considerable acceptance. In their exceedingly important translation, long overdue for the English language, Stephanie Jamison and Joel Brereton have chosen to use this translation of the term in their own translation. However, a very strong counter-argument was put forth first in 1970 by M. Pahilawada in his remarkably underrated and undervalued paper. He followed this paper with further revisions and additions, culminating in his final revision of the paper in 1996. All the while, it still garnered very little interest or notice. In the interesting paper by Jarrod Whitaker in 2007, "Does pressing Sóma make you an Āryan?", Pahilawadana's substantial contribution to this very question is not included in the references. It has finally received recognition, being reedited and published in the *Electronic Journal of Vedic Studies* in 2017. While I will disagree with the final conclusion as to the meaning of ariproposed by Pahilawadana, he systematically gathers the textual data that seriously undermine the possible meaning of ari as 'stranger' or 'other'.

We can examine a number of verses in the RV which illustrate that the meaning 'prestige feast giver' for *arí*- is a more likely meaning for the term in the RV.

### Excursus on RV 9.79.3

RV 9.79.3 provides us with an interesting verse that helps to illuminate the meaning of *ari*. Several recent translations of this verse render it thus:

### **RV** 9.79.3ab

utá svásyā árātiyā arír hí ṣá utānyásyā árātiyā vṛko hí ṣáḥ

"(Protect us) from the hostility of one of our own, for he (becomes) an alien [lit. another]; from the hostility of another, for he (becomes) a wolf."60

In the J-B translation, 'alien' is rendered with 'stranger'.

Now, in the case of the hostility of one of our own, (we proclaim:) "he, indeed, is a stranger!" And, in the case of the hostility belonging to the other (side), (we proclaim:) "he, indeed, is a wolf!"<sup>61</sup>

"Whether he be our own 'ari'--i.e. he is the 'ari' or whether he be the 'ari' of another--i.e. he is the wolf, may thirst overcome him

 $<sup>^{60}</sup>$  Brereton 1981:153. **RV** 9.79.3ab 'of the stinginess of one of our own ... and of the stinginess of another ...' (Klein 1985:1:354)

<sup>&</sup>lt;sup>61</sup> JB 3:1313.

completely as if in the desert. Conquer, O purifying Soma, the evil-doer."<sup>62</sup>.

### I would translate:

### RV 9.79.3ab

"Indeed  $(h\hat{\imath})$  he is a **prestige feast giver**, but [he] does not give gifts to his own and [concerning] the non-gift-giving [of another ][prestige feast giver, i.e. one who is outside of our community], indeed  $(h\hat{\imath})$  he is a wolf."

The term  $ar\bar{a}ti$ - is a technical term referring to non-gift-giving. For those who do not receive from the bounty of the ari-, their natural concern, of course, is his alleged 'stinginess'. The poet here is disparaging a feast-giver who refuses to distribute his feast goods to the poet or to his lineage, even though they are of the same community. On the 'stinginess' asserted here, however, I suspect that the poet was one who was not qualified to receive gifts from the prestige feast giver, but with the changing circumstances, he now feels entitled to such emoluments. Then, we also see the interesting opposition here of:

svá 'own (community)'	ánya 'another (community)'
arí 'prestigious feast-giver'	výka 'wolf' (=ari of another community)

<sup>&</sup>lt;sup>62</sup> Banerjea 1963:5, fn. 4.

The term *vŕka* - 'wolf' must be a metaphor for a person and, most likely, it is a reference to an arí of another community. Why would this be? Within the context of the feast-giving society, the feasts are usually for one's own community, but the arí-s also exist in the other communities, where they are also the over-producers of goods that will be distributed at their own feasts. Thus, we can envision both community competition and rivalry for the scarce resources in a region. The arí-s are producing a surplus of food products, including meat, grain, etc. These abundant producers, the arí-s, the prestige feast-givers, are in competition for the resources not only with other members of their community (svá-), but also with those potential feast-givers in the larger area outside of one's own territory, designated instead by the term ánya-. Thus, the term výka- 'wolf' is a metaphor for the overproducing ones, the ari-s, from another community with whom there may not be a feasting relationship. In a situation of scarcity and competition for the pastures and agricultural land, those overproducing individuals, the arí-s of another community, are then likened to rapacious devouring wolves. The ari- of one's own community will share his 'production'; the ari- of another community will not--he is the wolf that snatches the goods away.

### **RV** 10.39.5

purāṇā vāṃ vīryà prá bravā jáné 'tho hāsathur bhiṣájā mayobhúvā/
tā vāṃ nú návyāv ávase karāmahe 'yáṃ nāsatyā śrád arír yáthā
dádhat /9/

"I shall declare your ancient heroic deeds in the presence of the (jana) ... so that this ari may gain faith, O Nāsatyas!"63

<sup>&</sup>lt;sup>63</sup> Pahliwadana 1970:94, fn. 18.

Here I suggest that the poet is referring to a prestige feast giver from another viś 'settlement' or janma 'territory', and thus outside of the poet's linage (*jana*). There appears to be a clear hierarchy of relationships designated by the terms jana- viś- and janma-, with the jana- constituting the smallest of the units. Jana is most likely a designation for a lineage, viś- refers to the settlement and janma- refers to 'community (of settlements)'. 64 Thus, the occurrence of the term *jana*- explains why this prestige feast-giver, who is outside of the poet's lineage, is now being brought into their particular ritual sphere. It is well known that the later Vedic rites are anything but uniform, with a fair amount of variance in liturgical practice among the various  $\pm \bar{a}kh\bar{a}s$ . This does not rule out their relationships with each other and their ability to participate at each other's feasts. In this hymn, perhaps this prestige feast giver may, or may not, be unaware of the particular narrative which the poet indicates he will recount. In any case, the poet is also proving to this feast-giver that he is an authentic member of the liturgical feasting community--hence his need to declare that this is 'ancient', lest this particular ari- 'prestige feast giver' should doubt--that is, 'not have faith'.

### RV 1.70-1-2

vanéma pūrvīr aryó manīṣā agníḥ suśóko víśvāni aśyāḥ

<sup>&</sup>lt;sup>64</sup> In RV 2.26.3ab there is the sequence: *jana* : *viś* : *janma* which Klein renders with folk : clan : race (Klein 1992:25). However, given that the archaic society will probably think in kinship relationship similar to the contemporary mountain societies, the terms probably mean something similar to: sublineage [*jana*-] : *viś* 

ā daíviyāni vratā cikitvān ā mānuṣasya jánasya jánma

Let us possess the many poetic inspirations of the stranger, Agni who burns well, let him obtain everything, he who has knowledge of the divine orders and the descent of the human race. Mucciarelli 2014:14

Again, why would they seek the 'poetic inspiriations' of a stranger? Furthermore, it is interesting that the poet knows the language of the 'stranger'. Leaving aside this point for the moment, we can see the conflict that is being articulated here. Just as in the Kalasha system, where the invited prestige feast givers will 'spontaneously' sing a praise hymn to the hosting prestige feast giver, here, too, the attending guest prestige feast givers offer their 'poetic inspiriations'. We now see the intrusion of the new 'poet', most likely one who is involved in the feasting, but is not one who has prestige. We are looking at the change in the larger social system with the incipient priesthood asserting itself.

The translation I suggest is:

Let us win (possess/appropriate) the many poetic songs of the (guest) prestige feast giver. Agni, of beautiful flames, obtains (aś) everything [for us]; [that Agni who], perceiving the pledges [we take] that are related to the devas, and the birth of the lineage from Manu.

8. Prestige Feast Givers outside of the RV sacrificial/ritual feasting community

**RV** 10.86.1

ví hí sótor ás<sub>r</sub>kṣata néndraṃ devám amaṃsata / yátrāmadad vṛṣākapir aryáḥ puṣṭéṣu mátsakʰā víśvasmād índra úttaraḥ //

[Indra:] "Because they have left off pressing (soma), they have stopped honoring Indra as god, (in the places) where my comrade Vṛṣākapi was getting high on the goodies of the stranger."--Above all Indra! (J-B 3:1527)

Pahilawadana renders this verse with:

They have lapsed from the pressing (of Soma), they have not revered Indra as a god - there, where .... Vṛṣākapi found pleasure in the nourishing riches of the ari.<sup>65</sup>

We encounter again the oft-repeated indictment of those who do not 'press' the soma and who do not revere Indra. The *ari* is the prestige feast giver of the earlier tradition and, while speaking the same or similar language or dialect, has not adopted the soma cult and the worship of Indra.

... where my companion,  $V_r \circ \acute{a}kapi$ , was delighting in the nourishing foods of the (rival) prestige feast-giver, when (it is really) Indra who is the one of utmost confidence.

# Excursus on the terms dasyu/dāsa

In his extremely underrated paper on the term *ari*, but which has finally been recognized and been published on the online journal in the

<sup>65</sup> Pahilawadana 1996:40.

highly respected *Electronic Journal of Vedic Studies*. <sup>66</sup> Pahilawadana concludes the following with regard to the two critical words in the RV, the dasyu and the  $d\bar{a}sa$ .

The word *Dasyu* in the RV essentially carries **connotations of cult hostility, of being non-Vedic culturally and religiously** (as opposed to *Dāsa* **which carries connotations more ethnic and more 'political'**). The word is often associated with other words denotative of differences of religious views and practices (e.g. *avrata*, *aśraddha*, *akratu*, *ayajyu*, *adevayu*, *akarma*, *amantu*, *anyavrata* etc.)<sup>67</sup> (emphasis mine)

# He also concludes

If one were to judge from the Rgvedic evidence alone, one must say that dasyu is the term that is used to denote non-Aryans in general, perhaps any one distinguishable from the Aryans on account of religious differences; in distinction to that  $d\bar{a}sa$  is a word that seems to denote a specific ethnic group that held authority in the area to which the Indo-Aryans migrated.<sup>68</sup>

I think we can further identify the  $d\bar{a}sas$  and the dasyus within this framework for which Pahilawadana convincingly argues and add certain refinements to his interpretation. I suggest the following situation.

The fundamental argument of Pahilawadana is that the RV clearly expresses the existence of three groups:

<sup>&</sup>lt;sup>66</sup> Volume 24 (2017), issue 2.

<sup>&</sup>lt;sup>67</sup> Pahilawadana 1996:110.

<sup>&</sup>lt;sup>68</sup> Pahilawadana 2017:166.

- 1. Rgvedic Aryans, and the notion of Aryan is defined within the context of a very specific ritual sense and belief system: the one who worships Indra, who 'presses' soma, and who recognized Agni 'Fire' as a god.
- 2. The *Dasyus* are those who follow a liturgical, cultic practice, one which is **recognizable** to the Rgvedic Aryans, and those practices do not include the practices and beliefs of the Rgvedic Aryans following the cultic practices of number 1 above.
- 3. The  $d\bar{a}sas$  are Indo-Iranian speakers who arrived in the subcontinent, having arrived via a southeasterly movement through Central Asia rather than the southwesterly route. They arrived prior to the most easterly branch of the Southwest Indo-Iranian speakers who are the dasyus. We can portray the situation thusly:

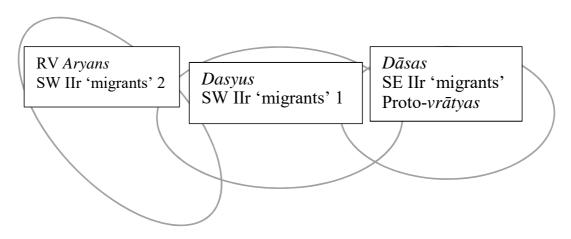


Figure 1. Relative position of the Indo-Iranian speakers within the subcontinent, approximately 1300-1200 BC.

It is because the *dasyus* are pre-Rgvedic speakers and were part of the earlier southwesterly movement into the subcontinent and with whom the Rgvedic Aryans shared, it would appear, a more or less common language. Hence the poet in 1.51.8ab can say

ví jānīhi āriyān yé ca dásyavo barhíşmate randhayā śāsad avratān

Distinguish between the Aryas and those who Dasyus. Chastising those who do not make their pledge [to us], make them subject to the man who provides the ritual grass [i.e. following the Rgvedic Aryan cultic practice]. (Following J-B 1:165)

For the statement 'distinguish' ( $vi+j\tilde{n}\bar{a}$ ) between' only makes sense if there was some sort of problem in distinguishing between the two, otherwise, it would be obvious to the speaker and the hearer. This indicates what would seem to be a common language, a common dress, a common underlying form of ritual practice.

Another significant Rgvedic verse that has received a fair amount of commentary over the years is RV 10.28.1.

# **RV** 10.28.1

víśvo hy ànyó arír ājagāma máméd áha śváśuro nā jagāma / jakṣīyād dhānā utá sómam papīyāt svāśitaḥ púnar ástaṃ jagāyāt// Thieme holds that the ari- is opposed to the śvaśura 'father-in-law'. 69

<sup>&</sup>lt;sup>69</sup> Thieme 1938.

Banerjea offers the following translation of the first half of the verse in his study of the word *ari*:

"All the others (comprising) the 'ari' (exogamous group) have come, only my own father-in-law has not come."<sup>70</sup>

# Jamison-Brereton translate:

[Sacrificer's wife:] While every other stranger has come here, only my father-in-law has not come here.

He should eat the roasted grains and he should drink the soma. Well-fed he should then go home again.<sup>71</sup>

There are several questions here. The first question is: which father-in-law? The term śvaśura simply means 'father-in-law' and can refer to either the wife's father or to the husband's father. Thieme holds that the term śvaśura "is the father of the husband and the husband only." Likewise, in Jamison's and Brereton's translation of this verse, the text is interpreted as though it is the wife speaking, and thus she is referring to her husband's father. Yet, in the second half of the verse, the wife then states how the 'father-in-law' can return home after eating and drinking. This is an odd statement when there is the great likelihood that at the time of this hymn, and well known in subsequent Indian society, a patrilocal system was the social norm, and thus the daughter-in-law would be living with her husband's father. The father is the great likelihood that at the time of this hymn, and well known in subsequent Indian society, a patrilocal system was the social norm, and thus the daughter-in-law would be living with her husband's father.

<sup>&</sup>lt;sup>70</sup> Banerjea 1963:5.

<sup>&</sup>lt;sup>71</sup> J-B 3:1419.

<sup>&</sup>lt;sup>72</sup> Thieme 1957.

<sup>&</sup>lt;sup>73</sup> Ghurye 1955.44ff; Karve 1965:36.

In the Nuristani languages the cognate of śvaśura is the word for the 'spouse's father'; thus it can refer to either the wife's father or the husband's father. The same double meaning is also found in Kalasha išpa'šur 'father-in-law'. However, it is interesting to note that this cognate form in Prasun čüjü means both 'father-in-law' but also 'wife's father' and Prasun is known for its often more archaic meanings.

There is then the possibility that it is not the wife who is speaking, but the husband. Why didn't the father-in-law come? We can draw attention to the importance of the father-in-law to one seeking prestige through feasting. Strand notes the following situation with regard to affinal relationships.

"Affinal ties bind a man to his father-in-law (Kom  $\dot{c}'\ddot{u}\ddot{r}$ ) and, to a lesser degree, to his wife's brother ( $z\bar{a}m'i$ ). The strength of this tie depends primarily on the son-in-law's willingness to perform various services for his father-in-law. It behooves the son-in-law to perform well, because his father-in-law is a primary source of economic support. Indeed, an amiable relationship between a man and his father-in-law often provides the former with the most important source of support that he has, especially if he lacks close agnates." (emphasis mine)

<sup>&</sup>lt;sup>74</sup> See Strand http://nuristan.info/kinship.html (accessed January 12, 2018) for a treatment of the kinship structures in Nuristan. Kom ć'üř; Kati saći'uř; Ashkun šipas'u; Wai püšür; Pra čüjü (Buddruss-Degener 2015:643).

<sup>&</sup>lt;sup>75</sup> Trail-Cooper 1999, s.v.

<sup>&</sup>lt;sup>76</sup> Buddruss-Degener 2015:643.

<sup>&</sup>lt;sup>77</sup> Strand 1974b:56.

A situation such as this can be imagined for the verse here in question, in which the speaker seeks the economic and political support of his affinal relations, and in particular, that of his 'father-in-law', his wife's father. It simply seems quite incongruous that the groom's father is not coming to the wedding. But the relationships with the affines are more complex and more likely to be fraught with problems, and so the situation in which the wife's father does not come, can be easily imagined..

However, while this verse is important for clearly eliminating a translation of 'enemy' for *arí-*, it does not necessarily have any particularly important kinship meaning attached to it, as Banerjea argues, that is, the *arí* being a member of an 'exogamous group'. This simply indicates that the person to be married is from a prestigious family and has invited other prestigious members, that is, *arí-*s to come to the wedding. Thieme's 'stranger' and followed by a similar understanding in the J-B translation, simply do not make sense for this particular event. Why are there strangers at a wedding? I think that it is the bride-groom speaking, and for some reason his father-in-law with whom it is critical to have a good relationship has not come because of some offense and the groom is clearly concerned. His ability to become an *arí* himself is now in jeopardy because he will rely on his father-in-laws resources to enable himself to become an *arí*.

I translate the first half of the verse:

"Every **other prestige feast-giver** has come, only my own father-in-law [who is also a ranked feast giver] has not come."

# 9. Meaning of *adj. aryá* and *ārya*

We can now take up the meaning of the derived terms  $ary\acute{a}$ ,  $\acute{a}rya$ , and  $\acute{a}rya$ - which are clearly related to ari.

The following meanings of *aryá- árya-* are according to Thieme (summarized by Brereton 1981:151

aryá adj.	'protecting the stranger, hospitable'	1.123.1; 2.35.2; 5.16.3; 7.65.2; 7.86.7
aryá subs.	'hospitable lord'	5.75.7; 8.1.34 (voc.)
<i>aryá</i> subs.	'lord'	4.16.17 (voc.)
árya subs. < aryá	'hospitable lord' > 'lord, master, householder' Post RV	

Then Brereton posits the following conclusion: "aryá might be "belong to the other" or "protecting the other" or "characterized by the qualities of another (like us)." In the last case, the best translation is perhaps "civilized" or "civilizing," by which I mean adhering to or upholding to the rites and customs of the Vedic peoples." Brereton translates aryá with 'belonging to the art' as well as 'civilizing'.

RV 7.86.7c *ácetayad acíto devó aryó* "The civilizing god enlightened those without understanding."80

<sup>&</sup>lt;sup>78</sup> Brereton 1981:155.

<sup>&</sup>lt;sup>79</sup> Brereton 1981:155.

<sup>80</sup> Brereton 1981:157.

"The civilizing god [=Varuna] made those without understanding to understand ... J-B 2:992

But this interpretation seems straind when we begin with the assumption that *ari* means 'stranger' and we then arrive at a conclusion that the derived word comes to mean 'civilizing'.

If we return to our contention that the meaning of IA *ari*- is 'prestige feast giver', we can resolve the various problems associated with these terms. If the term *ari*- means 'prestigious feast giver', a concept which then involves **wealth** and **status/prestige** and **productivity**, the adjective and the derived noun must be related to these meanings. Thus the adj. *aryá* will encompass the following semantic range: 'productive' 'prestigious' 'wealthy' 'generous'.

[b] RV 7.86.7cd ácetayad acíto devó aryó gŕtsaṃ rāyé kavítaro junāti //
"The **productive** (aryá) divine being (devá) enlightened those without understanding."

The act of 'enlightening' someone is a 'productive act'; it is a creative act, bringing forth something new. Brereton's 'civilizing' is a much different concept and one that I very much doubt is present in the poet's thinking or in that of Vedic *Arya*ns. That is, *Varuṇa*, who resides in the seat of 'Life' (*rta*-) and from whence the Life Principle emanates, is a 'productive' deity, he not only is responsible for life itself, but through his animating power, also produces and animates thought and here we see the Vedic poet dealing in an abstract realm similar to the innovative thinking of Zoroaster, for whom it is Ahura Mazdā (who is functionally equivalent to *Varuṇa*) who is the source of Good Thinking.

RV 8.19.36

ádān me paurukutsyáḥ pañcāśátaṃ trasádasyur vadʰū́nām / máṃhiṣṭʰo aryáḥ sátpatiḥ //

Trasadasyu, the son of Purukutsa, most generous/productive (aryá), wealthy Lord of the Household, gave me 500 wives.

RV 1.123.1

pṛtʰū́ rátʰo dákṣiṇāyā ayojy aínaṃ devā́so amṛ́tāso astʰuḥ/
kṛṣṇād úd astʰād aryà víhāyāś cíkitsantī mānuṣāya kṣáyāya//
A broad chariot has been yoked for the priestly gift. The gods, the immortals have mounted it.

Up from the dark has arisen the lady of extensive power, being attentive to the human dwelling place. J-B 1:286

Rather, Dawn, qualified by  $ary\dot{a}$ , is here 'The Productive/Generous/ Fecund One'. When she arrives, she brings with her the goods of life that are to be distributed as  $d\acute{a}k\dot{s}i\dot{n}\bar{a}$  and as such, she is responsible for the safeguarding of the society through the continuation of fecundity in animals and agricultural products AND their distribution throughout the society.

# 10. Conclusion

Prestige feast giving was still very much a part of early Vedic society and was still somewhat similar in structure to that found among the Pre-Islamic Nuristanis, as well as the Kalasha, who are Indo-Aryan speakers. This is a very important conclusion for a correct understanding of Rgvedic social practices and social structure. During the period of the

RV, we are still dealing with a feasting society, albeit with a number of significant changes from the earlier, i.e. Early Indo-Iranian period. However, the institution of the 'prestige feast' continued into the Rgvedic period, finally collapsing along with the religious, social, and political system that supported it. The active composition of hymns ceased, along with the introduction of other significant changes in their social and economic sphere. I will have to deal with the reasons behind the collapse elsewhere. Nevertheless, we can see that, in the examination of a single prominent but problematical term, which allows us to derive an improved understanding of the social structure, we can come to a more accurate interpretation of ancient texts. Thus, when we have examined this seminal word in the Rgveda, within the context of a prestige feasting society similar to that of the Pre-Islamic Nuristanis and the Kalasha, the difficulties of the supposed contradictory meaning in the term can be resolved by an improved understanding of the social structure that forms the basis of the Rgvedic society. These conclusions can be further applied to other areas, allowing us to address in a far more cogent and convincing fashion the social structure that the hymns, by their very nature, do not make clear. The Pre-Islamic Nuristani and the Kalasha societies offer key insights into early Vedic society; bringing them into the Indological literature will prove to be most fruitful.

# **Abbreviations**

EWA Mayrhofer 1986–2001

IA Indo-Aryan

IIr Indo-Iranian

KEWA Mayrhofer 1953-1980

J-B Jamison and Brereton 2017

OIA Old Indo-Aryan

PN Proto-Nuristani

RV Rgveda / Rig Veda

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# David N. Nelson

# 1. Introduction

The problem of the position of Nuristani within the Indo-Iranian family has generated a fair amount of scholarly attention and the past several decades have witnessed a continued interest in the question. There remain two prominent theories, one that it is a third branch of Indo-Iranian (henceforth IIr), and the other that it is most likely an early offshoot of Indo-Aryan, and each has its adherents. I am convinced that it is a third branch and that there are considerable arguments other than the linguistic ones that can be brought to bear on the question. However, in this article, I will concentrate on the linguistic arguments, an approach which continues to yield fruitful discussion.

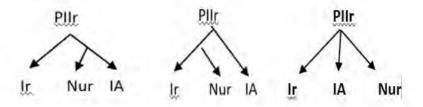
There are two major phonological reasons that seem to separate Nuristani from both Iranian and Indo-Aryan, and these two points tend to dominate the discussion. There is a third reason which I think is quite compelling, but which has never really been brought into the discussion. I am not sure why this has been the case.

Over the years, the following three theories have been advanced. I will be going into more detail as I progress, because I think the situation is more complex than the situation presented by these models—although the fundamental issue of being a third branch or not remains the critical question. We now have a sufficient quantity of

reliable linguistic data that the fundamental arguments for or against the third branch can be significantly impacted—that is, even with the new data, the basic arguments that have been put forth are not particularly enhanced or diminished with additional linguistic materials. I do think there is much to be learned from the lexical data that we now have at our disposal and the Nuristani languages need to be more systematically mined.

The Three Theories are the following. Nuristani is:

- 1. a 'pre-Vedic' subgroup of Indo-Aryan
- 2. an early diverging group from Iranian
- 3. an independent 3rd branch of Indo-Iranian



# 2. Morgenstierne's hypothesis

Morgenstierne vacillated over the years as to whether Nuristani was a third branch or an early offshoot of Indo-Aryan. He did finally feel convinced that it was a third branch.

"We are ... entitled to **posit the existence of a third branch of [Indo-Iranian]**, agreeing generally with [Indo-Aryan], but being situated on the Iranian side of some of the isoglosses which ... constitute the borderline between IA and Ir. ....

[Nuristani] has also retained archaisms of its own, and must have separated from the others at a very early date." 1 (emphasis mine)

Despite Morgenstierne's conviction in 1961, Burrow has this to say in 1973:

'Kafiri' is derived from 'Proto-Indo-Aryan' which is "that stage of language existing before the migrations into India and after the separation from Iranian." Burrow 1973:125

Degener seems to answer in the affirmative for the third branch in her comment:

"Nobody nowadays will doubt that the Nuristani languages belong to the Aryan group of Indo-European languages, their nearest relatives being the Iranian and the Indian languages." Degener 2002:103

# 3. 3rd branch arguments

The arguments over the years have tended to concentration on two particular phonological events: the sibilants affected by the RUKI rule and the presence of a particular group of affricates.

<sup>&</sup>lt;sup>1</sup> Morgenstierne 1961:139.

<sup>&</sup>lt;sup>2</sup> Burrow 1973:125.

<sup>&</sup>lt;sup>3</sup> Degener 2002:103.

# i. Nuristani and the RUKI rule<sup>4</sup>

One significant example involves the dental sibilant and the so-called RUKI rule. The RUKI rule is where the PIE dental sibilant /s/ when it follows  $r \not r u i$  and k becomes in Sanskrit a retroflex s < PIIr \*s and s in Avestan. Yet, there are several examples in Nuristani where the RUKI rule is apparently inoperative, and that is when the vowel /u/ precedes the sibilant. Strand, the most knowlegable scholar on the Nuristani languages, considers this a very significant detail that bears on the third branch theory:

- ii. Absence or partial operation of ruki rule with /u/:
- a. W. tüs, K. ti'us K.km. t'üs Empty millet ear used for fodder :: OIA túṣa 'chaff' (AV+)
- b. Pra mü:s'u, K. mus'a 'mouse', etc. :: OIA mūṣa
- c. PN \*dos 'yesterday', K., W., K.Km. dus, dus, Aşk. dos, Wai. dus, Tre. dos, Pras. uļus :: OIA doṣa 'evening'

# Strand comments on this phenomenon:

"One way to account for the early differentiation of proto-Nuristâni from the rest of the Aryan group is to assume that the Aryas first initiated a harshening of /s/ after /u/. The proto-Nuristânis **did not participate in that process**, because they were beyond the influence of Aryan [=PIIr DN] at that time.

<sup>&</sup>lt;sup>4</sup> For a very thorough discussion of the RUKI rule in Nuristani, see Hegedűs 2012.

<sup>&</sup>lt;sup>5</sup> On this complicated discussion involving Avestan, see Lubotsky 1999.

Thus, the word for 'mouse' is *mus'a* in Kâtaviri but *muṣ'a* in a neighboring Indo-Aryan language like Gâhwar bâti." <sup>6</sup> (emphasis mine)

He concludes:

This split between Nüristani and the other Indo-Iranian languages must have taken place before the split between the Iranian and the Indo-Aryan languages.<sup>7</sup>

So, according to Strand, this change alone would mean that PN split off from PIIr [=Aryan] before the full implementation of RUKI had occurred. He assumes that PN was on the southeastern edge of the "Āryan expansion" and shared in other changes with the Iranians and which differentiated both from the Indo-Aryan speakers. Subsequently, the PNs came under heavy Indo-Aryan influence and were then outside of the Iranian influence.<sup>8</sup> This particular reconstruction does present some chronological problems and this will be made clear in the following pages. However, we can accept the importance of this one particular example as showing a distinct break between Nuristani and the other two IIr branches.

Another interesting example is the K, K.Km. word for poison  $v'i\check{s}$  with a dental sibilant instead of the retroflex which is found in

<sup>&</sup>lt;sup>6</sup> Strand, "The Evolution of the Nuristâni Languages." http://nuristan.info/Nuristani/NuristaniEvolution.html

<sup>&</sup>lt;sup>7</sup> Strand 2013, "Basic Processes in the Evolution of the Nuristâni Languages."

<sup>&</sup>lt;sup>8</sup> Strand, "The Evolution of the Nuristâni Languages." http://nuristan.info/Nuristani/NuristaniEvolution.html

Sanskrit viş'a.

# iii. The affricate argument

It is the affricate argument that has been the most influential and important for the recontruction of Indo-Iranian phonology. Nuristani was responsible for introducing a new reconstruction for the PIE velar series.

It was the linguistic investigations of Georg Morgenstierne that led to the revision of the understanding of certain phonological developments of the *satam* languages from PIE with his data from the Nuristani languages. Most important, were his observations that the PIE palatal dorsals resulted in the Nuristani dental affricates and represented, in all likelihood, a phonological change that would have occurred prior to the earlier assumed change to PIIr \*\$\delta\$, \*\$\delta\$, \*\$\delta\$h which was commonly reconstructed. 9 It took several decades before Morgenstierne's conclusions were brought into the mainstream. Even Burrow's 1973 3rd edition of his excellent *Sanskrit Language* still has the above phonemes for Indo-Iranian, although Burrow introduces the 'Kafiri' evidence and does see them as the more likely group of phonemes for PIIr than the usual group and he then proceeds to posit both as options \*\$\delta\$, \*\$\delta

<sup>&</sup>lt;sup>9</sup> See Burrow 1973.

\* $\dot{c}$  \* $\dot{j}$  \*jh. Most recent works of the past 20 years have accepted the 'Nuristani' affricates as representing the PIIr sequence, oddly, often without acknowledging the Nuristani source.

Strand has introduced an expansion on the phonological developmental sequence and I will turn to that in a moment. I just want to point out the importance here of the Nuristani languages and their contribution to this important change in our understanding of the phonological changes from PIE to PIIr and beyond. While, this has not proven per se the existence of Nuristani as a 3rd branch since the argument is also made that there were simply PIIr sounds that continued into Nuristani, while undergoing further changes in the other two branches, but not necessarily establishing Nuristani as a third branch. The presence of the Nuristani affricates have led some to place Nuristani as a very early offshoot of Proto-Iranian, while others an early offshoot of Proto-Indo-Aryan.

There remain a number of dissenting opinions on the third branch theory, the latest can be found in the *Jared Klein Festschrift*. Werba holds that Nuristani is a very early offshoot of 'Proto-Indo-Aryan'. Others also take exception to the 'palatal' argument and there has been a surprising amount of literature of recent that have been looking into this matter. Lipp has recently made a significant contribution on the affricate discussion and his work was very thoroughly reviewed by Kobayashi in the *Indo-Iranian Journal*. <sup>11</sup> For some, the evidence is just not strong enough to be conclusive and this

<sup>&</sup>lt;sup>10</sup> Burrow 1973:74.

<sup>&</sup>lt;sup>11</sup> Kobayashi 2012.

is a legitimate problem.

It was the reflexes in Nuristani of the PIE dorsal sounds (palatal, velar, and labio-velars) that attracted Morgenstierne's attention when he published his first report on his linguistic mission in 1929. However, he was inclined at that time to still see a closer relationship with OIA than with Iranian, despite the seemingly shared Iranian features. Over his career he moved closer and closer to concluding that Nuristani was a third branch of IIr, and he stated it this way in his paper at the 1970 Hindu Kush Cultural Conference.

To me it seems far more probable that Kafiri goes back to the language of an advance-guard of the Indo-Iranian invaders. And I do not find it so very surprising when sometimes historical developments prove to have been much less simple than the models we in our comparative ignorance have been forced to construct.<sup>12</sup>

# 4. Strand's theory

Richard Strand has introduced a new wrinkle to the affricate discussion arising out of PIE. Because of his unrivalled knowledge of the Nuristani and other languages of the Hindu Kush, it is necessary to consider what he has written on this subject. Strand takes a very strong phonological approach in explaining the changes positing a critical change that needs to be examined which is at variance with the majority of the historical linguists on this point. He does seem, however, to

<sup>&</sup>lt;sup>12</sup> Morgenstierne 1974:9.

Nuristani: The Missing Puzzle Piece in the Development of Indo-Iranian? introduce several new chronological problems in the sequencing of the sound changes. He writes:

"c. The First Palatalization, in which the root of the tongue was raised, pushing the body of the tongue forward. This change produced lamino-alveolar affricates from non-labialized velar stop consonants, so that  $*k > *\check{c}[tf]$ ,  $*g > *\check{j}[dg]$ , and  $*gh > *\check{j}h[dgh]$ ."<sup>13</sup>

Thus, he proposes that the first affricate development resulted in 'lamino-alveolars' and not dental affricates. It is not clear to me if Strand accepts two or three PIE velars. He treats the change of the 'non-labialized velars' to 'lamino-alveolars' only. It appears that he accepts only the two PIE velars.

Then, during what Strand refers to as the 'Early Iranian phase', occurs the dentalization with  $*\check{c} > *\acute{c}$  and  $*\check{j} > *\acute{z}$ . He posits the dentalization of the series wherein

"the increased front tension on the glottis spread upward to bolster the already raised tongue root (from Step 1.c above),

<sup>&</sup>lt;sup>13</sup> Strand. Evolution. I added the IPA symbols. It appears that Strand accepts only two or the proposed three velars in the PIE velar series.

<sup>&</sup>lt;sup>14</sup> However, Don Ringe in his latest book (2017:9) writes that while "their exact pronunciation is not reconstructable; all we can say for certain is that the 'palatals' were pronounced further forward in the mouth than the others, and that the 'labiovelars' were pronounced with lip rounding but were otherwise identical with the 'velars'." He then states unequivocally: "That PIE possessed stops of all three types is no longer controversial" accepting Melchert's proof using Luvian. (2017:9)

pushing the tongue further forward. The effect was to change the articulation of the affricates from lamino-alveolar to lamino-dental, so that  $*\check{c} > *\acute{c}$  and  $*\check{j} > *\acute{z}$ . These dental affricates persist to this day in the Nuristâni languages, although  $\acute{z}$  has lost its affrication in most dialects. Examples include Kal.  $\acute{c}u^{\sim}$ 'dog' vs. Skt.  $\check{s}auna$ -; K.km.  $\acute{z}'o^{\sim}$  'knee' vs. Skt.  $\check{j}anu$ -. Meanwhile, the early Indo-Âryan speakers laxed the voiceless lamino-alveolar affricate  $*\check{c}$  to a spirant  $\check{s}$ , while leaving the voiced affricates  $\check{j}$  and  $\check{j}h$  undisturbed, as in the preceding examples. <sup>15</sup>

He then goes on to say: "At this point the proto-Nuristanis departed from Iranian influence and did not partake in the further changes characterizing the Iranian languages." <sup>16</sup> Thus he posits a shared sound change between the 'Proto-Iranians' and the 'Proto-Nuristanis' of the 'lamino-alveolar affricate' to 'lamino-dental'. This would be similar to how others who see Nuristani as 'closer' to the Iranian side because of the affricates, rather than to the 'Indo-Aryan' side, with the considerable lexical connections. Despite the rather elaborate series of sound changes that he proposes, I still find this sequencing somewhat puzzling. He seems to be arguing for shared changes with 'Iranian', yet it is not clear exactly how the 'pre-Indo-Aryans' (my term here) fit into this schema. For he then holds that it is only later that the PN come into contact with the 'Indo-Aryan' speakers and begin to be influenced by them. While the ongoing relationship

<sup>&</sup>lt;sup>15</sup> Strand, http://nuristan.info/Nuristani/NuristaniEvolution.html

 $<sup>^{16}\</sup> Strand,\,http://nuristan.info/Nuristani/NuristaniEvolution.html$ 

between Nuristani and the Indo-Aryan language is readily observable with current linguistic data, Strand's sequence does not seem to take into account the early relationship between Indo-Aryan and Iranian which we see especially in their shared socio-cultural features that are missing in Nuristani.

While this is an interesting reconstruction, and his entire article on the sequence of events must be read, I am not sure there is the need to reconstruct the 'lamino-alveolar affricates'. The OIA dental sibilant as a result of the deocclusion of the dental affricate is a perfectly reasonable change. At the end of it all—we have the indisputable linguistic facts of dental affricates in Nuristani and the clearly different outcomes in IA and Iranian.

Strand goes on to outline a number of 'phases', and it does seem clear, at least to me, that what Strand called the 'Indo-Aryan' phase is when the Nuristanis do come into closer proximity again with the various IA languages found in the Hindu Kush region, and the shared IA areal features begin to strongly appear. It is because of this later 'contact' situation that there is the seeming relationship of Nuristani with Indo-Aryan.

# 5. A third but unconsidered linguistic fact

But there has been one area in the discussion that has not figured prominently or at all for that matter. The PIE /l/ is clearly present in Nuristani phonology. I find this omission of the r/l discussion puzzling because /l/ is significant in terms of certain seminal words in both IA

and in Nuristani. The various scholars who have dealt with the question have provided, I think, quite inadequate explanations, almost after thoughts, to explain for the presence of /l/ in OIA. In all the Nuristani languages, the phoneme /l/ is present and original. It also stands in contrast to the phoneme /r/.

It has been established by Meillet that Vedic, like Iranian, has  $/*l/ > /*r/.^{17}$  In other words, we have apparently a shared innovation and this has been widely accepted as a shared sound change in Indo-Iranian. Yet, obviously, in the RgVeda we do have words with the phoneme /l/. How do we account for this? A variety of opinions have been given. Deshpande opines that the 'redactors' of the RV were part of an r/l dialect. He also notes that Magadhi Prakrit developed into an /l/ only dialect and that the northwestern dialects are almost /l/ free and "represent the early r-only dialect". He cites with approval Burrow's theory on why we have still the r/l in OIA when Burrow agrees with Meillet that the Vedic dialect SHARED with Iranian this change.

# Burrow writes:

"On the other hand, those Indo-Aryans who preserved the difference between r and l had already departed to India, and so they were unaffected by it. The speakers of the r-dialect were the latest comers on the Indian scene and there **ensued a mixture of the two dialects**." (emphasis mine)<sup>20</sup>

<sup>&</sup>lt;sup>17</sup> Meillet 1912-1913.

<sup>&</sup>lt;sup>18</sup> Deshpande 1995 citing Meillet 1912-1913 and Bloch 1970:2.

<sup>&</sup>lt;sup>19</sup> Deshpande 1995:70 citing also Mehendale 1948:71.

<sup>&</sup>lt;sup>20</sup> Burrow 1972:535.

Deshpande goes on to ask:

"How about the r and l branch of Indo-European which presumably reached India before the Rgvedic Aryans? Where did they come from? Did they reach India via Iran? If so, did they leave any trace of themselves in Iran? Were the speakers of the r and l dialect of Pre-Vedic Indo Aryan a totally different branch from the Indo-Iranian? These are difficult questions." (emphasis mine)

This argument is also put forward by Parpola and he also brings in Ossetic and notes that some of the east Iranian dialects have an IE /l/ which does muddy the water.<sup>22</sup> Kümmel handles this problem with this explanation.

"Although in these languages [i.e. some East Iranian languages DN] we find some cases of l going back to r, thus proving that at a certain time r and l no longer stood in functional opposition, evidence found in New Persian and Ossetic proves that in Proto-Iranian the opposition between l and r was still not neutralized."<sup>23</sup>

Thus he calls into question the completeness of the sound change of \*l > \*r.

To return to the IA side, Burrow further explains the situation

<sup>&</sup>lt;sup>21</sup> Deshpande 1995:71-72.

<sup>&</sup>lt;sup>22</sup> Parpola 1988:247

<sup>&</sup>lt;sup>23</sup> Kümmel 2012:5.

David N. Nelson thusly:

"The explanation of this apparently complicated treatment is fairly simple. The dialect as the basis of the Rgvedic language lay to the north-west, while the classical language was formed in Madhyadesha. The original division must have been such that the **Western dialect** turned l into r in the same way as Iranian (being contiguous to Iranian, and at the same time probably representing a later wave of invasion), while the more easterly dialect retained the original distinction... So in the case of the distribution of r and l many of the basic words of the vocabulary retain always the form established by the Vedic literature, but in other cases l-forms based on the dialect of Madhyadesha replace them. In cases where the word in question is not found in the Vedic text, and where therefore there existed no established literary tradition, the Eastern form with original l almost universally appears."<sup>24</sup>

Kobayashi also works with the IA dialect theory for the distribution of r/l and notes the problem they present.

Indic /r/ and /l/ are of disputed origin. Since Old Iranian has no /l/ documented, and since /r/ is 90 times commoner than /l/ in the Rgveda, some scholars consider that PIE \*l and \*r have merged to \*r in Proto-Indo-Iranian (Bartholomae 1895-1901: 23). However, their less disproportionate distribution in later

<sup>&</sup>lt;sup>24</sup> Burrow 1973:84.

Vedic *Samhitās*, and possible Proto-Indo-European origins of some of Indic (and later Iranian) words with /l/ such as Skt. leh/lih 'lick', Persian  $li\check{s}tan$  and Kurdish listin 'id.', make us suspect that \*l merged with \*r only in Vedic dialects, especially in the dialect of the Rgveda, while it remained distinct from \*r in other, **undocumented dialects** of Old Indic."<sup>25</sup>

Except for Kümmel, these theories treat the OIA manifestations of r and r/l as a phenomenon within Indo-Aryan and represent, then, dialect differences with the 'dialect' of the RV as a later dialect. I will turn to this idea of 'undocumented dialects' in a moment.

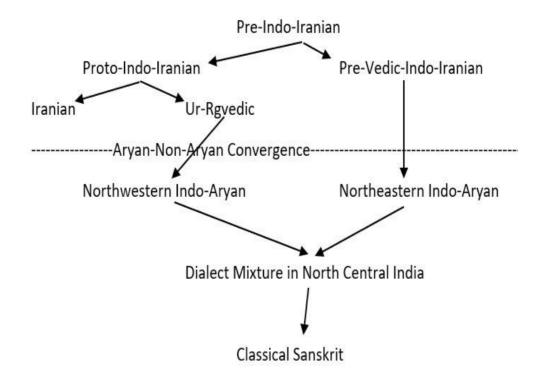
Deshpande realized the problem of /l/ in several articles where he dealt with the problem of retroflexion, because of having to deal with Fortunatov's Law which involves the phoneme /l/. He offers a revised Stammbaum model that never seems to have made a great impact on the relevant literature. But I think it is worth reviewing because the points he has raised have not been adequately addressed, and I think it directly bears on the Nuristani question. Basically, Deshpande agrees with the theory of the two-wave immigration theory which Hoernle articulated well over a century ago and which a number of scholars have accepted and discussed, including Burrow in his important 1973 article, "The Proto-Indo-Aryans".

Deshpande makes some very important advances in the theory by continuing the argument that there was a 'dialect mixture' of NW Indo-Aryan and NE Indo-Aryan. Yet, since there is the presence of l in

<sup>&</sup>lt;sup>25</sup> Kobayashi 2017.

the RV, he is forced to conclude that the RV as we have it, is then a 'redaction', where the l phoneme, coming from an (undocumented?) Indo-Aryan 'dialect' (language?) and thus introduce words with l into the hymns. It would seem that based on his model, Ur-Rgvedic simply becomes the NW Indo-Aryan. However, this would mean that the RV, as we have it, is not the 'original' language of the poets, that is, the language of Northeast Indo-Aryan (Deshpande's term) which would then be an r-only language, but instead, represents the language AFTER the dialect mixture and the reintroduction of l into the language. This is similar to Burrow's conclusion quoted immediately above in which the language of Madhyadeśa was responsible for introducing the l in the RV, apparently. Burrow concluded 20 years earlier "there **ensued a mixture of the two dialects."** 

In terms of the Stammbaum, Deshpande presents the situation thusly (Deshpande 1993: 129-196):



Deshpande's model (Deshpande 1993:165. Figure 2.)

Beginning with Hoernle, nearly 150 years ago, and ending here with elaborations by Parpola and Southworth, there has long been an opinion that there had to have been at least two 'migratory' waves of 'Aryans' coming into the subcontinent. The entire matter of migration is quite complicated and there must have been a number of mechanisms involved in this phenomenon. The vexing question surrounding the 'Vedic Aryans' has been whether or not they were pastoral nomads or, as I think most likely, agro-pastoralists, with an emphasis on the pastoralist side, but they were settlement dwellers. The reasons for considering them nomads is really based on a set of assumptions which

now belong more to the historical literature on the question than relying on any particular factual information that would allow such a conclusion to be drawn. The real question is more about the whole problem of migration itself. The two basic common theories to account to the spread of languages is 1. Migration and 2. Diffusion. Migration does seem to be the stronger argument and the archaeological literature on Central Asia, now exceedingly robust, testifies to a tremendous amount of activity during the 2nd millenium BC (the Middle and Late Bronze Ages) in a territory stretching from the Trans-Urals to Bactria-Margiana region and beyond.

There are a variety of reasons for population movement without resorting to relying on nomads, which actually is far more problematic than dealing with the expansion of settled agro-pastoralists. Possible causes of population movement range from population growth and the need to establish new communities, overgrazing and overutilization of land, or possibly climate change with increasing aridization of the region. Other possible causes would be pressure from other groups, and even the calamitous violation of purity laws that render an entire village uninhabitable and which has been recorded in historical times in the Hindu Kush.

Finally, and importantly, movement of groups also makes the most sense when dealing with language splits which is the result of separation and not contact.

To return to the two-wave theory. We have Burrow's theory of the Rgvedic dialect versus the other unnamed dialect present, apparently already in India and located to the east of the encroaching Vedic dialect.

Dehpande also posits this scenario. However, these theories imply a unilinear southerly movement of the Indo-Iranians, beginning from the most likely Trans-Urals to the ultimate destination in the subcontinent with then the eventual dispersal throughout the subcontinent.

Both scholars hold that the r/l 'dialect' was first into the subcontinent and Deshpande also goes on to say:

The significance of the r-l dialect moving earlier into the interior of India and eventually on to the eastern areas like Magadha (where dialectally all r>l) ...<sup>26</sup>

There is much to recommend in Deshpande's model because it does deal with certain problems than the more simplistic model of simply postulating IIr splitting into Iranian and Indo-Aryan.

However, his model does not deal with the Nuristani branch. I now propose that we can add considerably to this model by adding the Nuristani branch. However, for reasons that go beyond strictly the linguistic evidence, I hold that the Nuristani branch had to split off from Deshpande's 'Pre-Indo-Iranian' branch, and then split off from Deshpande's Pre-Vedic Indo-Aryan. I will reword the model to reflect this linguistic situation, and I will then show how we can also ascribe certain socio-cultural features to this model as well, thus linking the textual and cultural data with the linguistic data.

<sup>&</sup>lt;sup>26</sup> Deshpande 1979:263. See also the chapters in Southworth 2012 dealing with this question.

### 6. Revised Stammbaum Model

I present the following Stammbaum model which reflects aspects of Burrow's and Deshpande's model with the addition of the Nuristani group. I also introduce here a group speaking Proto-Prakrit-Magadhi (hereafter PPM) as belonging first to the group of Indo-Iranians who can be considered the Southeastern Indo-Iranians. It was the speakers of Proto-Prakrit-Magadhi whose language included the ll/l phoneme, who met up again with their linguistic cousins, the Rgvedic ll/l phoneme, who met up again with their linguistic cousins, the Rgvedic ll/l phoneme, which had undergone a change of ll/l > lr/l.

I would like to propose a scenario where we have **two parallel movements**, one leaving the area of the Trans-Urals to the southeast, and the other leaving to the southwest. I would then change the term Pre-Indo-Iranian to Early Indo-Iranian. This model allows for two things. It explains the eventual Nuristani situation in the broadest sense, and not simply the linguistic eventuality. It also explains the genesis of the Buddhist and Jain tradition which arise not out of the southwesterly Indo-Iranian tradition which we see with the shared Rgvedic-Avestan traditions, but out of this southeasterly group, and thus did not undergo cultural changes that we find in the shared RV-Avestan tradition. This PPM tradition also provides a better explanation for the *vrātyas* which years ago Heesterman concluded represented an 'Aryan' group somehow outside of the Vedic sphere of influence but who came into contact with the Vedic 'aryans'. The role of the *vrātyas* in this revised picture will be covered in detail elsewhere.

In his works on Republics in India, Sharma observes the interesting differences between the northwest tradition and the eastern

traditions that seem to indicate how the eastern groups must be coming from a very different background tradition.<sup>27</sup>

I think it is perhaps not simply linguistic chauvinism that both Buddhists and Jains considered Magadhi the original language,  $m\bar{u}la-bh\bar{a}s\bar{a}$ . Another interesting quote also testifies to Magadhi as the original language:

'The child of a Damila mother and an Andhaka father will speak the Damila language if he hears his mother speak first, the Andhaka language if he hears his father. If he hears neither, he will speak the Magadhi language."<sup>28</sup>

I present the following model based on Deshpande's, but now accommodating both Nuristani and the 'missing' earlier 'Aryan' wave as part of the group who entered the subcontinent ahead of the Rgvedic Aryans. Just as we most likely had a north-south split between the Iranians and the pre-Rgvedic Aryans as Burrow has surmised, so too do we have a north-south split between the Proto-Nuristanis and the Proto-Prakrit Magadhi speakers.

The time frame that I think we are dealing with is greatly truncated from what is often put forth. We can easily ignore the speculations of those who place the Vedic period in the millenia prior to the second millenium. If we are indeed dealing with the Sintashta culture, and working with that theory, we have a terminus post quem of 1700 BC for the beginning of the language split from Early Indo-Iranian

<sup>&</sup>lt;sup>27</sup> Sharma 1968.

<sup>&</sup>lt;sup>28</sup> Kaccāyana 1863:cviii.

(=PIIr) to the respective southeasterly and southwesterly groups. The subsequent convergence between the two groups, each of which reached the subcontinent via different routes, can be dated to around 1300 BC. Thus, we have a separation of only around 400 years. I think given this situation, we can see that the languages will be quite recognizable to each other.

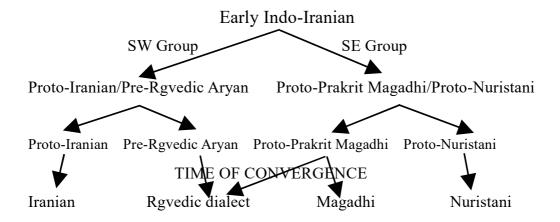


Figure 1. The Development of the Indo-Iranian languages

The affricates and the phonemes r/l can be added to this model:

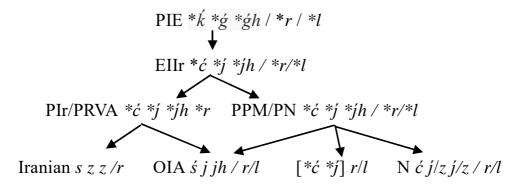


Figure 2. affricates and r/l in Indo-Iranian languages

L-words in Nuristani: Here are a few words with original /l/.

1. The OIA verb *labh*- 'to seize for sacrifice'<sup>29</sup>

This is a critically important ritual term and in the samhitās. It refers to the 'seizing [the animal for sacrifice]'.

a. K. lot 'peace'

Absence of Bartholomae's Law, OIA *labdha*- PN \**lapta*. Perhaps due to the early deaspiration of aspirates. \**lapta* >  $l\bar{a}t > lot$ .

Why 'peace'? It is because an animal is sacrificed when there is a peace accord. In Pre-Islamic Nuristan and in Islamic Nuristan peace is achieved only after the sacrifice of an animal. This is also a feature of Kalasha society.

- b. K. law 'evidence' < \*labha.
- c. K.,K.Km. lov 'thanksgiving ceremony'
- 2. K. laz'e-, K.Km. liż'a- 'to lick'
- 3. Another interesting word with the phoneme /l/ is found in a set of words dealing with herding and with a root \*pal. A herding group is called the *Palai*. "For the purpose of livestock herding and the making of dairy products two to ten families join together to form a cooperative" which is called the Palai (Kati pale, Prasun pala, pel'a, Wg. palae, palai). There is an OIA verb palāyati 'guards, protects' which is first found in the AV (anu-palāyanti). Mayrhofer compares this verb with

<sup>&</sup>lt;sup>29</sup> This verb does have a variant with /r/ rabh. See Goto 1976.

<sup>30</sup> Edelberg-Jones 1979:74.

parāyati 'rettet, beschutzt'.

- 4. Wai. palāl 'dirt' < \*palāla 'mud'. Edelberg records the meaning of this term as also 'crushed rock used in building' and perhaps this is the earlier meaning with then 'mud' an extended meaning from the crushed rock mixed with water to be used in building as well. There is the PIE pel- 'dust, and the Nuristani provides a closer semantic connection than the Skt 'straw, chaff' as suggested by Turner 7958.
- 5. An interesting word for 'lake' is PN nila; K.,K.Km nil'a 'lake (formed from a river)', Aṣk  $n\bar{\imath}l$  'lake (formed from a river)'. There is an OIA  $n\bar{\imath}ra$  for 'water' and the accepted etymology for this word is from the Dravidian. Yet, this would seem to be an odd borrowing into Proto-Nuristani which has the phoneme /r/ to change it into /l/. There is the OIA  $n\bar{\imath}la$  'blue' which is easy to see as a metaphor for a lake as well. This strikes me as a solid IIr word. The word  $n\bar{\imath}la$  first occurs in the AV and occurs only in compound in the RV ( $n\bar{\imath}laprṣta$  3.7.3;5.43.12;7.59.7;  $n\bar{\imath}lalohita$  10.85.28;  $n\bar{\imath}lavat$  7.97.6;8.19.31).
- 6. There are a number of words dealing with conflict which have a base \*kal. K.Km. kâl'a Fight. [OIA. kalaha- 'quarrel; fight' T. 2922]; kâl'a ku- VT. Fight. K.Km. kalədukšul 'hostility, literally 'fighting sickness'

Thus, the phoneme /l/ is exceedingly common in Nuristani and there is no reason to think that words containing this sound are not a part of the archaic sound system for Nuristani and into the earlier periods.

There are further conclusions that we can make with regard to

the development of the IIr languages by working with the three-branch model and the two separate migratory movements out of the Trans-Urals.

This model proceeds from one particular assumption: the Sintashta culture can be identified as belonging to Indo-Iranian speakers. Russian archaeologists have argued for this conclusion for a number of decades.<sup>31</sup> A few non-Russian archaeologists such as David Anthony concur with this conclusion.<sup>32</sup> Most non-Russian archaeologists are loath to ascribe a linguistic identification with an archaeological complex without clear and unambiguous proof of such a relationship. But, the characteristics of the Sintashta cultural complex so well match up with facts present in the RV that it first of all allows Sintashta to at least be a likely candidate for such an identification. Then there is the time frame. The age of Sintashta has been dated from 2100-1700 BC, which is considered the Middle Bronze Age. Sintashta towns disappear during the late Bronze Age (1700-1400 BC)<sup>33</sup>. There is a virtual agreement among Vedic scholars that the RV represents a text from the 2nd millenium BC. Over the past several decades, even the dating of the RV is now placed in the 2nd half of the 2nd millenium BC.<sup>34</sup> The one sure date that we have for Vedic Aryan is the Mitanni treaty between Shuppiluliuma and Shattiwaza which can be dated to 1380 BC. Thus we know that there were Vedic Aryan speakers, or more precisely, pre-

<sup>&</sup>lt;sup>31</sup> Notably the lenthy work by E.E. Kuzmina.

<sup>&</sup>lt;sup>32</sup> Anthony 2007.

<sup>&</sup>lt;sup>33</sup> Sharapov 2017:8.

<sup>&</sup>lt;sup>34</sup> Witzel has been steadily reducing the time frame for the composition of the Rgvedic hymns

Rgvedic Aryan speakers, who arrived at Mitanni in the 2nd millenium BC who were worshipping gods that are encountered in the RV.

One of the continuing discussions in the archaeological literature is whether we are dealing with sedentary populations or mobile pastoralists. And if they are mobile pastoralists, are we dealing with entire population movements or something less? Given the quantity of animals, in particular, cattle, but also goats and sheep and horses, there was clearly a pastoral importance to the society. Having fortified settlements and an abundance of domesticated animals, one can posit a transhumant system such as we still find among the Nuristanis and the Kalasha.

There has been very little doubt that the Indo-Iranians belong to Central Asia. This conclusion is based largely on the simple question—where else would they have come from? There is also the need to explain both the spread of Indo-Aryan languages and Iranian languages which, especially for the latter, can be localized to Central Asia. Assuming the earlier dating for the Avesta and Zoroaster, 35 virtually all the lands mentioned are in Central Asia or the eastern Iranian plateau. 36

<sup>&</sup>lt;sup>35</sup> This would be in the second half of the second millennium BC. See Skjærvø 2005. See also Encyclopedia Iranica,

http://www.iranicaonline.org/articles/avesta-holy-book. Skjærvø (2003–2004: 15) also comments that "Old Avestan can be shown to be linguistically very close to, in some respects even more archaic, than Rigvedic, the oldest Indiac languages."

<sup>&</sup>lt;sup>36</sup> See the entry on Avestan Geography by G. Gnoli in Encyclopædia Iranica. http://www.iranicaonline.org/articles/avestan-geography.

The question that lingers is that of 'migration' itself. There are two competing theories on language change: 1. The cause is migration and 2. The cause is diffusion. The argument for migration is to me the stronger one, especially when dealing with the language changes that we encounter. It is difficult to account for the various Indo-Iranian languages through diffusion. Migration helps to account for population separation or fission that will then result in the development of distinct languages within a language family. Migration is perhaps too strong a term for what could be simply be population expansion and the founding of new settlements. If the economy is largely based on pastoralism, any number of factors can be involved necessitating population movement. There is the need for additional pasture land, overgrazing in the current area, pressure from other competing groups for the pasture land, etc. It does seem clear that the Indo-Iranians were not nomadic pastoralists. There are references to agriculture in the RV and grain is an essential ritual offering. Interestingly, one of the words for 'people' is kṛṣṭi which is from the verb kṛṣ 'to plough'. It would seem likely that the semantic development would be from '(ploughed agriculture) boundary' to 'those dwelling within the boundary lines'.

So, beginning with the ending date for the Sintashta culture around 1700 BC, there commences the southerly movement of Indo-Iranian speakers. The Indo-Iranian speakers of the Sintashta culture can be referred to as speakers of Early Indo-Iranian (hereafter EIIr). In this discussion, the term EIIr is the equivalent of the more common Proto-Indo-Iranian. In his discussion of the evolution of the Nuristani languages, Strand places the "Aryan" (=Proto-Indo-Iranian) in the

Trans-Urals region and he proposes that the Proto-Nuristanis moved out this region in a south-easterly direction. This is very likely. In light of the discussion above about the importance of the /l/ in our understanding of OIA and that /l/ is present in Nuristani, I suggest that the linguistic situation at the time of this first movement is where we have what I call speakers of **Proto-Prakrit Magadhi/Proto-Nuristani**. This is a rather cumbersome locution, but it addresses what will become a subsequent linguistic fact.

Meanwhile, there is also movement on the south-westerly side out of the Trans-Urals. Again, while lacking in elegance, these speakers can be considered to be the **Pre-Rgvedic Aryan/Proto-Iranian** (=PRVA/PIr) speakers. This is the time of the 'Indo-Iranian' period that is commonly reconstructed based on shared belief and cultic practices. It is during this period, and among this group of Indo-Iranian speakers, that the phoneme /\*l/ > /\*r/ becomes a shared change affecting these southwesterly Indo-Iranian speakers. This sound change **did not** occur among the south-easterly Indo-Iranian speakers. The time frame is approximately 1700-1500 BC. It is also likely that \*sauma\* was adopted at this time by the southwesterly Proto-Iranians and incorporated into their cultic practices. This adoption of the cult beverage \*sauma\* most likely occurred among the most southerly PRVA/PIr speakers and diffused northward.<sup>37</sup>

Now we have several developments on both the southeasterly and the southwesterly sides. On the southeasterly side, there is the split

<sup>&</sup>lt;sup>37</sup> The identification and the method of producing \*sauma/soma will the subject of a subsequent paper.

between the Proto-Iranians and the pre-RV Aryans. This division is based on a north/south axis with the pre-RVAs in the south and the Proto-Iranians in the north. This is a conclusion reached by Burrow in his 1973 paper on the Proto-Indoaryans.<sup>38</sup> Subsequent changes in Proto-Iranian now occur further distinguishing it from pre-RVA.<sup>39</sup> The pre-RVAs move into the area of Bactria-Margiana. The deities, Indra, Agni, Varuṇa, Mitra, etc. emerge during this period. A group of pre-RVA speakers on the western side of Margiana move into the area of Mitanni. There would seem to be a metallurgical reason behind this movement, if Burrow's understanding of the capital '*Waššukhani*' meaning 'mine of wealth (i.e. precious metals" is correct.<sup>40</sup> Sintashta culture also had a highly developed metallurgical practice.

Meanwhile, a similar north-south language split occurs among the southeasterly Indo-Iranians. The Proto-Nuristanis are located in the north and the Proto-Prakrit Magadhi (PPM) speakers are in the south. The PPM speakers continue to move southerly and are the first Indo-Iranian speakers to arrive into the subcontinent. We can date this movement to be most likely around 1500-1400 BC. The Proto-Nuristanis remain in the areas around the Hindu-Kush and even into Afghanistan where they are able to maintain a remarkable insularity from adjacent groups. 41 Eventually, they are forced into the remote

<sup>&</sup>lt;sup>38</sup> Burrow 1973:140.

<sup>&</sup>lt;sup>39</sup> For Proto-Iranian see Kümmel 2012 and 2013, etc.

<sup>&</sup>lt;sup>40</sup> Burrow 1973:124

<sup>&</sup>lt;sup>41</sup> On the movement of the Nuristanis, see Strand http://nuristan.info/Nuristani/NuristaniEvolution.html.

fastnesses of the Hindu-Kush where they still reside.

Returning to the area of Margiana, the Proto-Iranians begin to encroach on the territory of the pre-Vedic RV Aryans. Burrow writes:

To the north, in Central Asia, lay the Iranians, who, beginning around 1400 BC moved south and by degrees took over the territory previously occupied by the Proto-Indoaryans."<sup>42</sup>

This is a sound conclusion. The Proto-Iranians effectively form a wedge now separating the pre-RVAs from the 'Mitanni Aryans' in the west. Burrow's dating for this movement at 1400 BC also seems quite probable. This contact situation between the now Proto-Iranians and the Pre-RVAs is then seen in the cultic conflict that is reflected in the *Asura:Deva :: Ahura: Daevas* conflict. We can conclude two things: 1., This contact situation involved conflict, both territorial and cultic and 2., The language of the Avesta is indeed contemporaneous with that of the pre-RVAs. <sup>43</sup> This pressure on the pre-RVAs now drives them further into the subcontinent itself. This results in the encounter between the SE trajectory Indo-Iranians, that is, the PPM speakers, and the SW trajectory Indo-Iranians, the pre-RVAs with the former to the east of the incoming pre-RVAs.

But, it is the PPM speakers, who are the first Indo-Iranian speakers into the subcontinent and who then make the first initial contact with the indigenous linguistic communities of the subcontinent.

<sup>&</sup>lt;sup>42</sup> Burrow 1973:140

<sup>&</sup>lt;sup>43</sup> On the similarities between Vedic Sanskrit and Avestan as an indication of contemporaneousness, see Skjærvø 2003-2004 and 2005.

I suggest it is during this contact situation that retroflexion is introduced into this group of Indo-Iranian speakers and begins to take hold as a linguistic feature, eventually becoming the strong areal feature that we find in the language of the region to this day. Those pre-RVAs who are on the eastern end of the pre-RVA language community come into contact with these PPM speakers. Two important phonological developments now occur: the phoneme /l/ is rephonologized in pre-RVA and retroflexion as a phonetic feature is also introduced into the pre-RVA speech community. This is then a convergence situation between two related languages which have only been separated for perhaps no more than 200-300 years. This is the 'dialect mixture' which Deshpande and Burrow had theorized. I think we can actually consider the language that emerges, which is the Rgvedic Aryan as we understand it from the language of the RV, as a koine. It is this contact and convergence situation that results in what we know to be the RVA speech, that is, the language of the RV, **including** the oldest strata. The result of this contact can be considered a koine according to the following definition:

A koine is a stabilized contact variety which results from the mixing and subsequent leveling of features of varieties which are similar enough to be mutually intelligible, such as regional or social dialects. This occurs in the context of increased interaction or integretation among speakers of these varieties.<sup>44</sup>

This is the language that both Burrow and Deshpande had hypothesized for a later period. We know that the language of the RV

<sup>&</sup>lt;sup>44</sup> Siegel 2001:175.

included retroflexes at the time of the hymn composition. Likewise, there is clearly the presence of the phoneme /l/ in the hymns of the RV. These two phonological features were introduced by the PPM speakers into what became the language of the Rgvedic hymns.

This contact situation enables us to explain the interesting conflict that is apparent in the Vedic hymns themselves with other 'Aryans', along with the  $d\bar{a}sas$  and the dasyus. It is clear that there existed a ritual conflict within the RVA speaking community itself where other Aryans (their term) are held in contempt along with the  $d\bar{a}sas$  and dasyus, by the Indra-worshipping, soma-using RV Aryan community.

We can posit the following scenario. The first group of SW-trajectory pre-RVAs, that is, those who were on the eastern front, entered into the subcontinent and they subsequently were the first to encounter the already present PPM speakers. This is the initial dialect encounter. However, these pre-RVAs **were not** Indra worshippers, nor did they use *soma*, but were using *surā* for their ritual beverage. The use of mead from honey had been lost due to the absence of bees and honey in the regions from which they had come.<sup>45</sup>

The Proto-Iranians continuing their southerly movement and expansion then forced the western-side group of pre-RVA speakers who had developed the use of *soma* into their ritual practices along with the introduction of the deities Indra, replacing \*Vṛṭraghna, the god Agni who emerges as a deity in his own right representing the ritual

<sup>&</sup>lt;sup>45</sup> The role of bees and honey is an interesting topic and will have to be treated elsewhere.

specialists, along with Varuṇa who replaces the father god, \*Asura Pitar. This group of pre-RVAs(W) speakers, moving into the subcontinent, then encounter the pre-RVA(E) speakers whose speech had by now undergone the phonological changes mentioned above. The pre-RVA(E) speakers introduce these phonological features to the pre-RVA(W) speakers resulting in what can be recognized as the RVA speech.

We now have the historical RVA speech and we have moved out of the pre- and proto- stages. It is at this time, the hymns of the RV begin to be composed, first by the RVA(W) speakers, and then by the RVA(E) speakers. We see this situation reflected in the eventual organized structure of the RV itself in which books 2,4,6 and 8 are the poems of the Bhrgu Angiras', and 3, 5 and 7 to which Brough importantly drew attention. It think it could be argued that the very term  $samhit\bar{a}$  refers not to 'collection', but to the 'agreed upon'  $(sam+dh\bar{a})$  hymns that were to be included in the memorized canon. The final hymn of book 10 is to 'Agreement'. It would seem that there was some sort of conclave, most likely during the Middle or Late Vedic period wherein these by-now gotra groups, rigorously maintaining their lineage hymns, agreed upon  $(sam+dh\bar{a} > samhit\bar{a})$  the hymns to be included in the unified canon of hymns.

I suggest that we can identify four distinct groups in the corpus of the Vedic hymns during the time of their composition, circa 1300-1000 BC.

Group I. Rg Vedic Aryans-Indra/Soma. Indra is their chief god, Soma is

<sup>&</sup>lt;sup>46</sup> Brough 1946.

present at their elaborate ritual which is then overseen by ritual specialists (but not yet 'priests' who emerged later as a distinct group) who both administer the complex soma ritual and invoke the gods following a very ancient poetic tradition.

Group II. Rgvedic speakers who are non-Indra worshippers and 'non-pressers' (*asuṣvi*). They are Rgvedic speaking Aryans who do not follow the cultic practice involving the elaborate soma ritual. But then, who are they?

These Rgvedic Aryans are *r*-only Vedic speakers, but they belong to a portion of a Rgvedic speaking group that was outside of the significant developments that affected the RVAs to their west. These Rgvedic speakers would be then in the area of current Afghanistan and Pakistan. The \*sauma Rgvedic speakers are those who had contact with the BMAC society and it is here that the elaborate \*sauma ritual most likely was developed and which also the catalyst for the development of a group of ritual specialists who eventually emerge as the group of brahmins. I suggest that these RVAs use the term *dasyu* which in Iranian takes on the meaning of 'territory'.

RV 4.16.9 *ábrahmā dásyur* 'the *dásyu* that is without brahma (i.e. not a soma presser and Indra worshipper)'

Group III. These are R-L speakers who are also non-pressers and they preceded the group II Vedic speakers into the subcontinent. The r-l speakers have the ethnonym  $d\bar{a}sa$ . Group II speakers first encountered them and were then the bridge group between the group I speakers and the group III speakers. It is well known that the Iranian cognate daha

Nuristani: The Missing Puzzle Piece in the Development of Indo-Iranian? means 'man'. <sup>47</sup> Pahilawadana concludes that the terms  $d\bar{a}sa$  and dasyu represent two distinct groups:

The word *Dasyu* in the RV essentially carries **connotations of cult hostility, of being non-Vedic culturally and religiously** (as opposed to *Dāsa* which carries connotations more ethnic and more 'political'). The word is often associated with other words denotative of differences of religious views and practices (e.g. avrata, aśraddha, akratu, ayajyu, adevayu, akarma, amantu, anyavrata etc.)<sup>48</sup> (emphasis mine)

Group IV. The group IV speakers are the 'indigenous' inhabitants of the subcontinent with whom the Group III speakers first encountered. What language the Group IV speakers speak has yet to be convincingly proven. We have likely candidates in Dravidian and the Mundari (Austric) speakers in the historical period. I tend to favor the Dravidian connection simply because they are a major language group who were then linguistically displaced by the incoming speakers of Indo-Iranian languages and dialects. It appears that the Austric speakers were from SE Asia and probably were not further west than they are historically known to be.<sup>49</sup>

It is this complex demographic situation that the time in which the hymns were composed. It is the last incoming group, our group I, who achieve the political dominance. The ritual specialists are able to

<sup>&</sup>lt;sup>47</sup> See especially Bailey 1959.

<sup>&</sup>lt;sup>48</sup> Pahilawadana 1996:110.

<sup>&</sup>lt;sup>49</sup> Blench 2017.

enhance their status and material well-being while providing the authoritative imprimatur to a set of influential men through their hymn composition and ritual performance. The ritual specialists become the mediators between the divine powers and the world, hence their increasing indispensability.

The totality of the convergence during the time of the active hymn composing can be seen in the restoration of the phoneme /l/ to the Rgvedic dialect. The critically seminal term vara had become Vala among the r/l speakers<sup>50</sup> and this is one of the key indicators that they hymns had to be composed AFTER the 'merger' of the two groups of Vedic Aryan speakers as described above.

Thus, the period of composition of the Rgvedic hymns is probably no more than 300 years, with the bulk of the hymns in mandalas 2-8 composed over a two-hundred-year period from around 1300-1100 BC or perhaps even 1200-1000 BC. By the time of the hymns of mandalas 1 and 10, the fundamental structure of the society that lay at the basis of the hymns, had evolved with the emerging *varṇa* system and the gradual but total replacement of the earlier ritual structure with that of what can be seen in the classic śrautic rites and the emergence of rice as the primary offering material, becoming the substitute for the bloody animal sacrifice. The hymns of mandalas 2-8 interestingly represent the end of the Vedic period. Perhaps it was this awareness that these hymns already belonged to an age that has passed on, and they were scrupulously recorded despite the absence of the social structure

<sup>&</sup>lt;sup>50</sup> Bailey 1954:26ff.

that gave rise to these hymns. In subsequent articles, the significant role which the pre-Islamic Nuristani society will address further issues that will help to illuminate this critical period in Indian history.

## **Abbreviations**

Aşk. = Aşkun PIIr = Proto-Indo-Iranian

AV = Atharva Veda PIr = Proto-Iranian

EIIr = Early Indo-Iranian PN = Proto-Nuristani

Ir = Iranian PPM = Proto-Prakrit Magadhi

K = Kati (East and West) PRVA = Pre-Rg Vedic Aryan

K.Km. = Kom Kati Pr = Prasun (=Vas'i vari)

N = Nuristani RV = Rg Veda

Nur = Nuristani Tre = Tregami

PIE = Proto-Indo-European W = Waigali (=Nishei alā)

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